

## Springback prediction for incremental sheet forming based on FEM-PSO-NN technology

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**Abstract:** In the incremental sheet forming (ISF) process, springback is a very important factor that affects the quality of parts. Predicting and controlling springback accurately is essential for the design of the toolpath for ISF. A three-dimensional elasto-plastic finite element model (FEM) was developed to simulate the process and the simulated results were compared with those from the experiment. The springback angle was found to be in accordance with the experimental result, proving the FEM to be effective. A coupled artificial neural networks (ANN) and finite element method technique was developed to simulate and predict springback responses to changes in the processing parameters. A particle swarm optimization (PSO) algorithm was used to optimize the weights and thresholds of the neural network model. The neural network was trained using available FEM simulation data. The results showed that a more accurate prediction of springback can be acquired using the FEM-PSO-NN model.

**Key words:** incremental sheet forming (ISF); springback prediction; finite element method (FEM); artificial neural network (ANN); particle swarm optimization (PSO) algorithm

### 1 Introduction

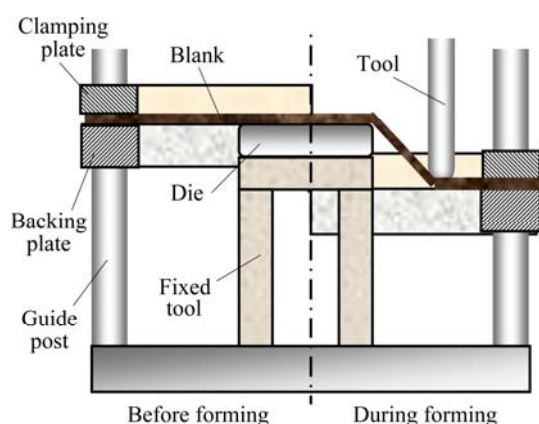
Current market requirements for products show a need to produce a variety of parts at low cost and with a short delivery period. Incremental sheet forming, a method that can meet these needs, is in demand and has been intensively studied [1–3]. The process was conceived to provide computer numerical control (CNC) flexible sheet forming with a toolpath program to replace the need for fixed dies or tooling (Fig. 1). However, springback is a major concern in the ISF process, and an accurate prediction of springback is essential for the design of toolpaths for ISF operations [4,5].

There have been many studies about springback prediction in ISF processes. HAN et al [6] investigated springback prediction in the ISF process using a genetic neural network. However, the optimization speed was slow, and the accuracy was not very high. AMBROGIO et al [7] investigated material formability in incremental forming and, in particular, the evaluation and compensation of elastic springback through experimental

investigation and explicit FEM analysis. TANAKA et al [8] investigated the negative springback phenomenon in sheet metal obtained by the incremental forward stretch forming operation, both experimentally and numerically.

However, traditional and new simulation techniques of springback prediction are laborious trial-and-error procedures, which involve long cycle times and high cost. Artificial neural network (ANN) has been proven to be a great tool for solving a wide variety of problems because of its ability to approximate non-linear functions in the absence of closed form solutions [9]. ANN provides a new way to solve complex, non-linear, polytropic springback problems. The main drawback of ANN is the need of large amounts of data for training and validating efforts. For these reasons, a FEM-ANN hybrid technique was used to generate reasonable data while avoiding expensive and time-consuming experiments.

Among the various ANNs, the back-propagation (BP) method is one of the most important and widely used algorithms. However, the conventional BP algorithm suffers from some shortcomings, such as a



**Fig. 1** Positive incremental sheet forming process

very slow convergence speed in training, and a tendency to get stuck in a local minima. Therefore, the present work intended to integrate ANN with particle swarm optimization (PSO) algorithm to properly determine the weights of the neural network, compensating for the defects of the BP algorithm. This method made use of the strong global and local search capabilities of the PSO and BP algorithms respectively.

In this work, the springback of the typical frustum of cone-shaped forming was investigated. Based on FEM simulation data, the prediction model of the springback was developed by the neural network and the particle swarm optimization algorithm. The predicted value of the springback in the FEM-PSO model was in accordance with the results of the FEM.

## 2 Experimental design and FEM analysis

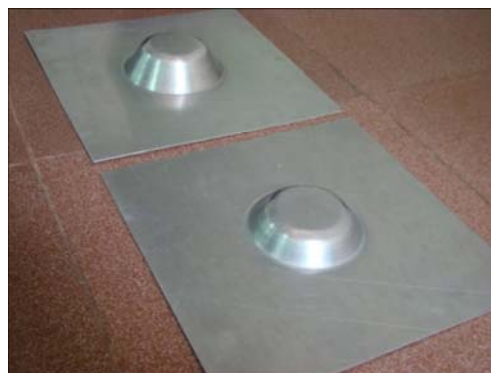
### 2.1 Experimental setup

For the experiments described in the present work, a special 3-axis NC-controlled machine was used in the incremental sheet forming, as shown in Fig. 2. The blank was fastened by a properly designed fixture. According to the design of fixture, the thickness of the blank was 1 mm and its length and width were both 330 mm. The material of the blank was 08Al in the annealed state.



**Fig. 2** Incremental sheet forming equipment

The circular die used for the experiments had a diameter of 80 mm and an edge radius of 3 mm. A spherically nosed tool (tool steel, Cr13) was used for all applied strategies. The code for the toolpath was programmed using the computer automated manufacturing module of Unigraphics NX, and the tool speed was set as 200 mm/s. Oil was applied to further minimizing friction. Figure 3 shows the parts produced by incremental sheet forming.



**Fig. 3** Parts of incremental sheet forming

### 2.2 Simulation setup

A three-dimensional elasto-plastic finite element model was set up to simulate the ISF process using ABAQUS/Explicit. The results were then transferred to ABAQUS/Standard (a static implicit code) to simulate the springback step (tools removal). Because of the properties of the process, there were several nonlinearities involved in the simulation of incremental sheet forming. In addition, for the considered applications, the incremental forming process was typically in full 3D without any symmetry plane. The simulation usually required the use of a large number of elements and the tool moving along a relatively long trajectory, leading to a complicated and time-consuming finite element analysis. To validate the model, similar parameters and values of material properties were used in both the simulation and the experimental study.

#### 2.2.1 Material and process parameters

A series of tensile tests were conducted to determine the material properties experimentally, and these material parameters were input for the simulation. The main material parameters are shown in Table 1.

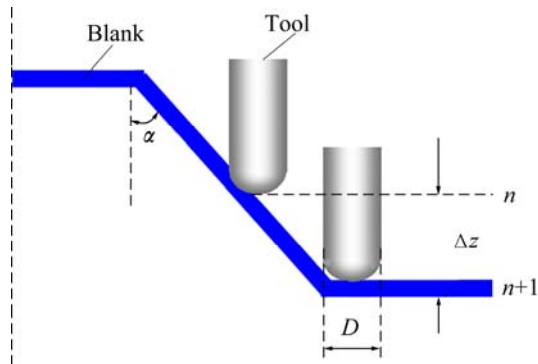
ISF is a complex cold-mechanical process. There are many factors that affect the forming process, such as vertical step downs ( $\Delta z$ ) between the consecutive contours, the tool diameter ( $D$ ), the sheet metal thickness ( $t$ ), the wall inclination angle ( $\alpha$ ), and the final part height ( $H$ ). Figure 4 shows the parameters of the ISF process.

#### 2.2.2 Finite element model

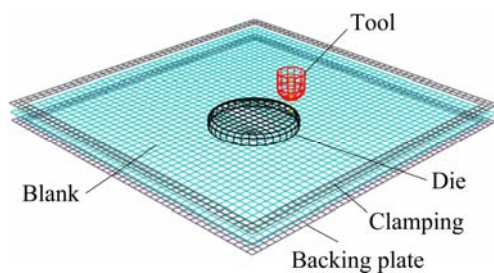
The model used 14400 4-node shell elements

**Table 1** Material parameters of tensile samples

Material	Yield strength, $\sigma_s$ /MPa	Tensile strength, $\sigma_b$ /MPa	Enhancement factor, $K$ /MPa	Hardening exponent, $n$	Elongation rate, $\delta_l$ /%	Coefficient of normal anisotropy		
						$r_0$	$r_{45}$	$r_{90}$
L2Y2	103	143	326.5	0.121	16.9	0.98	1.05	1.19
08AL	175	303	519	0.223	42.5	1.71	1.14	1.83
SUS304	235	611	1262.3	0.56	71.3	0.96	1.12	0.98

**Fig. 4** Parameters of ISF process

(Abaqus type S4R) with five integration points through the thickness of the model. The material was assumed to be planar anisotropic following Hill's 1948 yield criterion with kinematic hardening. The tool, partial die, clamping plate and backing plate were modelled as rigid surfaces. Coulomb's friction law was applied, and the friction coefficients were 0.05 between the blank and the tool and 0.15 between the blank and the partial die. The contact condition was implemented through a pure Master-Slave contact algorithm. Figure 5 shows the FEM model for the process.

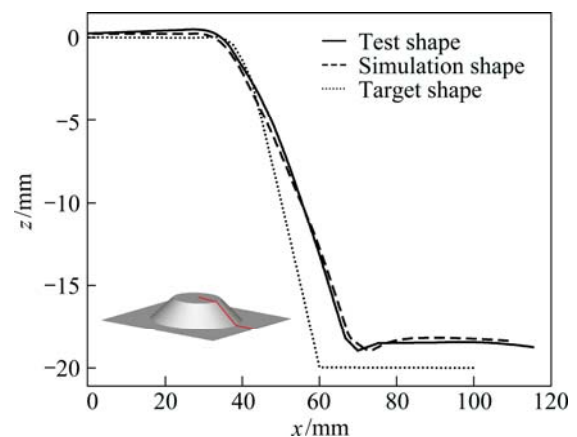
**Fig. 5** FEM model for process

To synchronize the FE simulation with the experiment, the NC file from the actual experiment was imposed upon the simulation to move the forming tool. The tool movement was controlled by predefined displacement constraints in several load steps. Using an artificially high tool velocity to compensate for the real process was considered a potentially good method to shorten the simulation time. Thus the tool feed rate was artificially increased to 2000 mm/s. The simulation time

was reduced significantly as the tool velocity increased by 10 fold.

### 2.2.3 Validation of FE model

To find out how well the simulation model of the springback was able to represent reality, a comparison with an experimental study was made. With the process parameters set as  $D=10$  mm,  $\alpha=40^\circ$ , a height of the final part of 20 mm,  $\Delta z=1$  mm, and an L2Y2 aluminium sheet thickness of 2 mm, the experiment and numerical simulation of the truncated cone were performed. When the part was complete, the final shape was measured offline with a 3D laser scanner (Fig. 6) and compared with the simulation prediction (Fig. 7). As shown in Fig. 7, the experimental and numerical results were accordant.

**Fig. 6** Set-up for measurements using a laser scanning system**Fig. 7** Comparison of simulation and test results of a truncated cone piece symmetric cross section

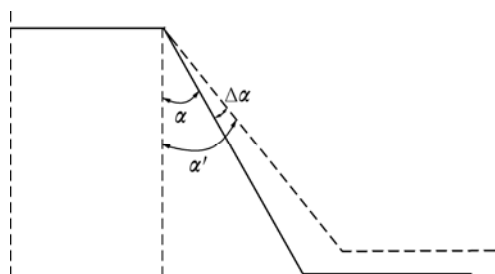
The maximum deviation in the  $z$ -axis direction was 1.163 mm between test shape and simulation shape, in which that in  $x$ -axis direction was 46.421 mm. Therefore, the 3D finite element model used in this work can be considered reasonable and the results of the simulation can be considered reliable.

### 3 Design of process parameters based on an orthogonal experiment

Orthogonal experimental design is one of the basic means of Taguchi parameter design based on Latin Square theory and Group theory, and it can be used to design multi-factor tests. As a result, the size of the full permutation and combination of experiments concerning various factors could be greatly reduced; therefore, it is considered to be an excellent experimental design method. In this work, the parameter design of orthogonal experimentation was used to provide the sample for the neural network.

#### 3.1 Experiment index of a workpiece springback of incremental sheet forming

To analyze the sheet springback of incremental sheet forming, as shown in Fig. 8,  $\Delta\alpha$  (angle difference before and after springback in the symmetrical section of the truncated right angle cone workpiece) was taken as the experiment index.



**Fig. 8** Schematic diagram of springback angle of incremental sheet forming

In this work, the resilience value was assessed by the springback angle, as shown in Fig. 8. In the figure, the solid line is the target-shape contour of the incremental sheet forming, the dotted line is the actual-shape contour after springback,  $\alpha$  is the sidewall angle before springback,  $\alpha'$  is the sidewall angle after

springback, and  $\Delta\alpha$  is the difference between  $\alpha$  and  $\alpha'$ , which ultimately represents the springback angle of the workpiece.

#### 3.2 Orthogonal experimental design

According to the characteristics of incremental sheet forming and our attempts to emulate practical production conditions as closely as possible, six main factors affecting the experiment index were chosen as experimental design variables (the ratio of yield strength to the elastic modulus of the sheet, the sheet thickness, the diameter of the tool head, the forming working height, the half-apex angle of forming parts, and the monolayer feeding quantity of the tool head), and interaction between design variables was ignored. The abbreviations of every factor in sequence were  $A, B, C, D, E, F$ , in the context of the possible value range for each factor; together with the professional knowledge and the FE simulation results, this approach allowed three levels of every design variable (factor) to be acquired as shown in Table 2.

The orthogonal table,  $L_{18}(3^7)$ , consisting of three levels of six design variables each, was used to design a set of experiments. Therefore, 18 calculation combinations with orthogonal conditions were constructed, and 18 FE simulations of incremental sheet forming were generated.

#### 3.3 Orthogonal experiment simulation results and analysis

The corresponding experimental factors were combined with ABAQUS to create 18 simulations of incremental sheet forming, with the orthogonal experiment results shown in Table 3.

##### 3.3.1 Range analysis

The range analysis method was used to calculate the range value ( $R$ ) of each column in the orthogonal table using mathematical statistical method. The range was used to determine the primary and secondary order of influence factors. Factors with large range values were significant, while those with small range values were not. The range values were calculated from Table 4. The order of the ranges was  $R_5 > R_4 > R_3 > R_1 > R_6 > R_2$ . Therefore, the order of impact of each factor on the experiment index was, from the largest to the smallest,  $E, D, C, A, F$ , and  $B$ .

**Table 2** Levels of analyzing factors in a digital incremental sheet forming springback

Level	Factor					
	$A$ , ratio of yield strength to elastic modulus/ $10^{-3}$	$B$ , sheet thickness/mm	$C$ , diameter of tool head/mm	$D$ , forming working height/mm	$E$ , half-apex angle of forming parts/(°)	$F$ , monolayer feeding quantity/mm
1	1.450(L2Y2)	1	10	10	40	0.5
2	0.845(08Al)	1.5	15	20	50	0.75
3	1.119(SUS304)	2	20	30	60	1

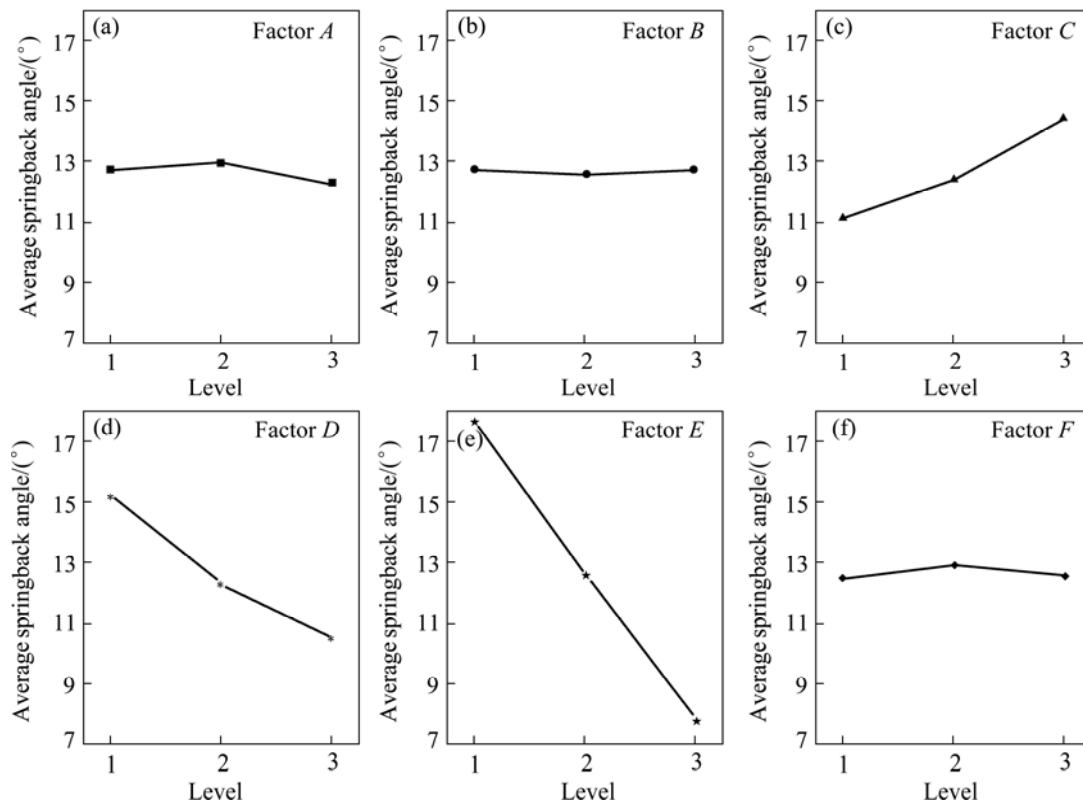
**Table 3** Orthogonal experiment results

No.	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	Springback angle/(°)
1	0.845	1.5	15	10	60	0.75	9.61
2	1.450	2	20	30	60	0.5	7.30
3	1.450	1.5	15	30	50	0.5	10.19
4	1.119	1.5	10	30	40	1	13.70
5	0.845	2	20	10	50	1	17.75
6	0.845	2	15	30	40	1	14.90
7	1.119	2	10	10	60	0.5	8.18
8	1.119	1	20	30	50	0.75	11.13
9	1.119	1	15	10	40	0.5	19.66
10	1.450	1.5	20	10	40	0.75	22.84
11	1.119	1.5	20	20	60	1	8.48
12	0.845	1	20	20	40	0.5	19.07
13	0.845	1	10	30	60	0.75	5.78
14	1.119	2	15	20	50	0.75	12.59
15	1.450	1	15	20	60	1	7.43
16	1.450	2	10	20	40	0.75	15.50
17	0.845	1.5	10	20	50	0.5	10.62
18	1.450	1	10	10	50	1	13.11

The average simulated values of the springback angle of each factor at different levels are shown in Fig. 9. The half-apex angle of forming parts was the angle most sensitive to springback. When the load was partially uninstalled, there was an increase in the half-apex angle of the forming parts, thus the force released by the elastic deformation ascended, and the springback became more obvious. The forming working height was also very sensitive to the springback. Because of the partial increase of the plastic deformation of the sheet, springback decreased. The diameter of the tool head was also sensitive to the springback. The larger the diameter of the tool head, the more the residual stress was released when uninstalling the load, thus the

**Table 4** Range analysis of process parameters on springback

Index	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>
$T_{i1}$	76.37	76.18	66.89	91.15	105.67	75.02
$T_{i2}$	77.73	75.44	74.38	73.69	75.39	77.45
$T_{i3}$	73.74	76.22	86.57	63	46.78	75.37
$K_{i1}$	12.73	12.70	11.15	15.19	17.61	12.50
$K_{i2}$	12.96	12.57	12.40	12.28	12.57	12.91
$K_{i3}$	12.29	12.70	14.43	10.50	7.80	12.56
<i>R</i>	0.67	0.13	3.28	4.69	9.81	0.41
Range order	4	6	3	2	1	5
Sensitivity	$E>D>C>A>F>B$					



**Fig. 9** Impact of each factor and level on springback

springback increased. As shown in Fig. 9, the curve of factor  $C$  increased monotonically. The material parameters determined the properties of the material itself. Though the sensitivity was not obvious, it still had a decisive impact on the results. However, the monolayer feeding quantity of the tool head and the sheet thickness were not very sensitive to springback.

### 3.3.2 Variance analysis

Although the range analysis was intuitive and easy to calculate, the accuracy of the calculations was insufficient. To overcome the low accuracy of the range analysis, the experimental data were processed by variance analysis.

It can be observed from Table 5 that the variance caused by the change of the half-apex angle of the forming parts accounted for 72.84% (288.924/396.648) of the variance. The change of the forming working height accounted for 16.96% (67.263/396.648), the change of the diameter of the tool head accounted for 8.29% (32.870/396.648), the change of the material factors accounted for 0.35% (1.369/396.648), the change of the monolayer feeding quantity of the tool head accounted for 0.14% (0.574/396.648), and the change of the sheet thickness only accounted for 0.016% (0.065/396.648). Therefore, the order of impact of each factor on the experiment index was  $E$ ,  $D$ ,  $C$ ,  $A$ ,  $F$ , and  $B$ . Thus, the significance analysis conclusions for each factor using variance analysis were in accordance with the conclusions by the range analysis.

**Table 5** Variance analysis

Variance source	Square of deviance	Freedom	Mean sum of square	$F$	Significance
$A$	1.369	2	0.685	0.613	0.578
$B$	0.065	2	0.032	0.029	0.972
$C$	32.870	2	16.435	14.720	0.008
$D$	67.263	2	33.631	30.122	0.002
$E$	288.924	2	144.462	129.388	0.000
$F$	0.574	2	0.287	0.257	0.783
Error	5.582	5	1.116		
Total variance	396.648	17			

## 4 Model of springback prediction based on neural network of a particle swarm

In the incremental sheet forming process, the springback prediction has a great influence on the accuracy of the forming parts' final shapes. As a result, fast and accurate springback prediction is particularly important. For most metal plastic forming processes, a complete and accurate analytical model for calculating

springback quantity was unable to be established because numerical simulations or empirical formulas were used to meet the needs of the engineering technology. However, this method is limited regarding calculation accuracy and speed. Therefore, it is very important to find a reasonable and scientific method to calculate the springback accurately in incremental sheet forming processes.

The error back propagation neural network algorithm has a simple structure, is self-learning, and uses parallel processing [10]. The BP algorithm has the advantage of optimization with precision, but it also has some shortcomings, such as the tendency to fall into local minima, a slow convergence rate and a chance to cause vibration effects. Therefore, in this section, the strategy of combining artificial neural networks and the particle swarm optimization algorithm was used, and a prediction model of springback quantity of the incremental sheet forming using the combined PSNN (particle swarm optimization and neural networks algorithm) was established. The model effectively solved the local convergence of a traditional BP network and improved the learning speed and prediction accuracy.

### 4.1 BP and its improved model of neural networks

The BP neural network can adopt the error back-propagation learning algorithm and use the gradient searching technique to achieve the minimization of the mean square error of the actual output and the expected output.

The BP learning process is as follows: the input value of the network from the input layer passes to the hidden layer through a weighting treatment, and then the output of the hidden layer is obtained from the operation of the activation function of the hidden layer. The output value of the weighted hidden layer is transmitted to the output layer after the weighting treatment, and then the output value of the network is obtained after the operation of the activation function of the output layer. The error is back propagated, and the network connection weights between layers and the neuron threshold are modified, layer by layer, to decrease the error. Training is repeated until the errors meet the accuracy requirements [11–13].

However, the BP algorithm has the disadvantages of easily falling into the local minimum and a slow convergence speed. To counter these disadvantages, an additional momentum method and an adaptive learning rate were used.

### 4.2 Hybrid algorithm model of particle swarm and neural network

The particle swarm optimization (PSO) algorithm is a global optimization algorithm based on group evolution,

and it can obtain a near optimal global solution, without falling into a local minima. In addition, its optimization process does not rely on gradient information and its high search efficiency is robust [14–16].

To overcome the shortcomings of the BP algorithm, which easily falls into a local minima and has a slow convergence rate, and to improve the convergence speed and accuracy of the springback-prediction model, this section applies the particle swarm optimization algorithm to train and model the artificial neural network with the goal of constructing a model with a faster convergence speed and a higher prediction accuracy, obtaining a better compensation effect.

#### 4.2.1 Particle swarm algorithm

In the PSO algorithm, each solution to the optimization problem is used to search for a ‘bird’ in space that we call a particle. Each particle has its own position and velocity (which determines the direction of flight and distance), and proper values for these parameters are determined by the optimization function. Finally, the particle swarm follows the optimal particles to search in the solution space.

#### 4.2.2 Hybrid algorithm for particle swarm neural networks

The network learning algorithm work flow is as follows:

**Step 1:** To determine the algorithm parameters, firstly the size of the PSO particle number  $m$  must be determined. Secondly, we must set the initial inertia weight  $w$ , the connection thresholds of neural network  $\theta_{ik}$  and  $\theta_{kl}$ , the maximum allowable number of iterations  $k_{\max}$ , and the random variables  $c_1$  and  $c_2$ , as well as initializing iterations  $k=1$ .

**Step 2:** The term  $\{w_{ik}\}$  is used to express the connection weights between the input layer and the hidden layer.  $\{w_{kl}\}$  expresses the connection weights between the hidden layer and the output layer. The weights of the neural networks and the connection variables are coded as follows:  $x=\{w_{ik}, w_{kl}, \theta_{ik}, \theta_{kl}\}$ .

**Step 3:** Initialization. In a given interval, when initializing randomly connection weights, the connection variable is usually initialized to a random  $[0,1]$  interval of real numbers. Therefore, the PSO is initialized to generate  $\text{PSO}\{x_i(0), i=1, 2, \dots, m\}$  on behalf of  $m$  types of different weights of the neural network, and is used as the initial solution set of the PSO algorithm. The PSO algorithm training weight speed is initialized randomly.

**Step 4:** The particle  $x_i$ , which corresponds to a neural network output value and the expected mean variance minimum, is targeted using Eq. (1) to derive the fitness value  $f(X_i)$  of individual  $x_i$ , and to initialize Gbest and Pbest.

$$f(X) = \frac{1}{1 + E(X)} \quad (1)$$

$$E = \frac{1}{2} \sum_k^p \sum_j^m [d_j(k) - y_j(k)]^2 \quad (2)$$

where  $f(X)$  expresses the fitness of  $X$ ;  $E(X)$  expresses the error level after the training of the BP network results expressed by individual  $X$ , here decided by Eq. (2);  $d_j(k)$  is the desired output and selected as the objective function of the weight update;  $m$  is the number of output layer nodes.

**Step 5:** The current fitness  $f(x_i^{(k)})$  of each particle in PSO is compared with the individual extreme value  $f(p_i^{(k-1)})$ . If the current fitness of a particle is better than the individual extreme value before the iteration, then the individual extreme value  $p_i^{(k)} = x_i^{(k-1)}$ , where  $k$  represents the number of iterations, must be updated. Otherwise,  $p_i^{(k)} = p_i^{(k-1)}$ .

**Step 6:** Update the global extreme value.  $p_g^k = p_i^k$ ,  $f(p_i^{(k)}) = \max\{f(p_1^{(k)}), \dots, f(p_m^{(k)})\}$ , of all the individual points, and the optimal fitness of the individual extreme values shall be used as the global extreme values.

**Step 7:** Optimization of the particle position. The formulas (3) and (4) are used to update the velocity  $v_i$  and position  $x_i$  of each particle.

$$V_{ij}^{(k+1)} = \omega^{(k)} V_{ij}^{(k)} + c_1 r_1 (p_{ij}^{(k)} - x_{ij}^{(k)}) + c_2 r_2 (p_g^{(k)} - x_{ij}^{(k)}) \quad (3)$$

$$X_{ij}^{(k+1)} = X_{ij}^{(k)} + V_{ij}^{(k+1)} \quad (4)$$

where  $n$  is the dimension of solution space, namely, the number of independent variables;  $d$  is the  $n$ -dimension in the  $d$ -dimension;  $k$  is the current evolution algebra;  $c_1$  and  $c_2$  are limited factors of displacement changes, often referred to as the acceleration weighting coefficient;  $\omega^{(k)}$  is the inertia weight.  $r_1$  and  $r_2$  are random numbers which change in the scope of  $[0,1]$ . At the same time, in the evolutionary process, in order to prevent the particles from flying out of the search space, usually the  $V_i$  will be limited to a certain extent, that is  $-V_{\max} \leq V_i \leq V_{\max}$ .

**Step 8:** The fitness evaluation of new particle populations is generated by iterations. To determine whether the algorithm arrives at the maximum number  $k_{\max}$  of iterations, or whether the training error  $E$  is less than the specified minimum error requirement, the iteration and output of the optimal solution of neural network are stopped. Otherwise,  $k=k+1$ , and it can be returned to step 4.

**Step 9:** To calculate the neural network output using  $p_g$  (obtaining the global optimum value eventually), the network weights and thresholds optimized for the particle swarm as the network initial weights and thresholds of the BP algorithm are taken, in accordance with the BP algorithm for training learning, which is to



be used for the PSO-ANN springback prediction models.

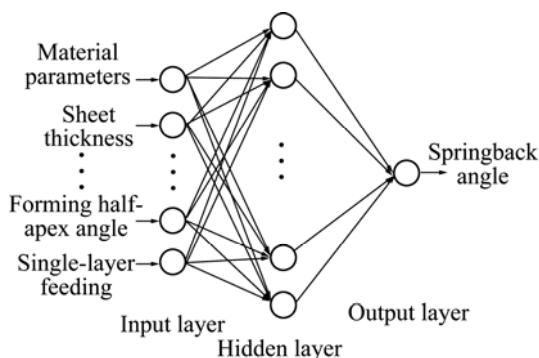
## 5 Simulation experiments and results analyses

To examine the effects of network learning using the improved PSO algorithm on the simulation experiments, we took the orthogonal experimental design data as sample sets using the standard BP network, the improved BP and PSO-BP to learn and test the samples, to evaluate their results, and to check the validity of the improved algorithm.

### 5.1 Parameter settings of neural network model

The neural network structure of springback prediction in incremental sheet forming is shown in Fig. 10.

As observed in Fig. 10, there are 6 inputs and 1 output in the network, and the choice of the number of hidden layer neurons is a very complex issue, according to the empirical formula  $n = \sqrt{a+b+c}$ , where  $a$  is the number of the output neurons,  $b$  is the number of the input neurons, and  $c$  is a constant between 1 and 10. This finding highlighted the complexity involved in setting up the 11 nodes in the hidden layer when investigating the corresponding prediction time and accuracy. Eventually, the intelligent optimization model was identified to have a network structure of 6-11-1.



**Fig. 10** Topology structure of incremental forming springback prediction neural network

In this work, the BP algorithm, the improved BP algorithm and the neural network model for springback prediction based on particle swarm optimization (PSO-ANN) were developed in a MATLAB language environment. For the standard BP algorithm training, the initial and threshold values were set randomly between  $[-1, 1]$  and the learning rate was set to be  $\eta=0.57$ . The improved algorithm used an initial learning rate of  $\eta=0.57$  and a momentum factor of  $\alpha=0.85$ . In the process of learning, formulas (5) and (6) were used to adjust the learning rate and momentum factor.

$$\Delta W_{ij} = -\eta \frac{\partial E}{\partial W_{ij}} \quad (5)$$

$$W_{ij}(k) = \eta \delta_j(k) y_i(k) + \alpha \Delta W_{ij}(k-1) \quad (6)$$

where  $\Delta W_{ij}(k-1)$  is the amendment of the weight and threshold for the  $(k-1)$  time;  $\alpha$  is the momentum factor.

When the simulation of the prediction model of the springback samples with the PSO-ANN algorithm was performed, the initial population size was set to be  $m=100$ , the network structure was set to be 6-11-1, and the simulation used a total of 77 weights and 12 thresholds; therefore, the search space for each particle in the particle swarm algorithm was 89-dimensional. Additionally, the initial inertia weight  $\omega$  was 0.8, with a linear decrease in the number of iterations to 0.4, a learning factor of  $c_1=c_2=2$ , a maximum speed  $v_{\max}=0.5$ , a maximum number of iterations  $G_{\max}=400$ , and a default error of 0.004. The algorithm was repeated several times, selecting different iterations and population sizes, choosing the particles of the highest fitness as the neural network initial rights (threshold) values, and then using the standard BP algorithm with a learning rate of  $\eta=0.57$  for a training target error of 0.002.

### 5.2 Analysis of simulation results

To test the BP algorithm in the CNC incremental sheet forming springback prediction system, we used the standard BP algorithm, the improved BP algorithm and the PSO-ANN algorithm for training models for the performance of the convergence speed, the precision of the model predictions, and the incremental forming springback prediction, respectively, of the artificial neural network.

#### 5.2.1 Comparison of network convergence performance

A comparison of the convergence performance using the BP algorithm, the improved BP algorithm and the PSO-ANN algorithm is shown in Table 6.

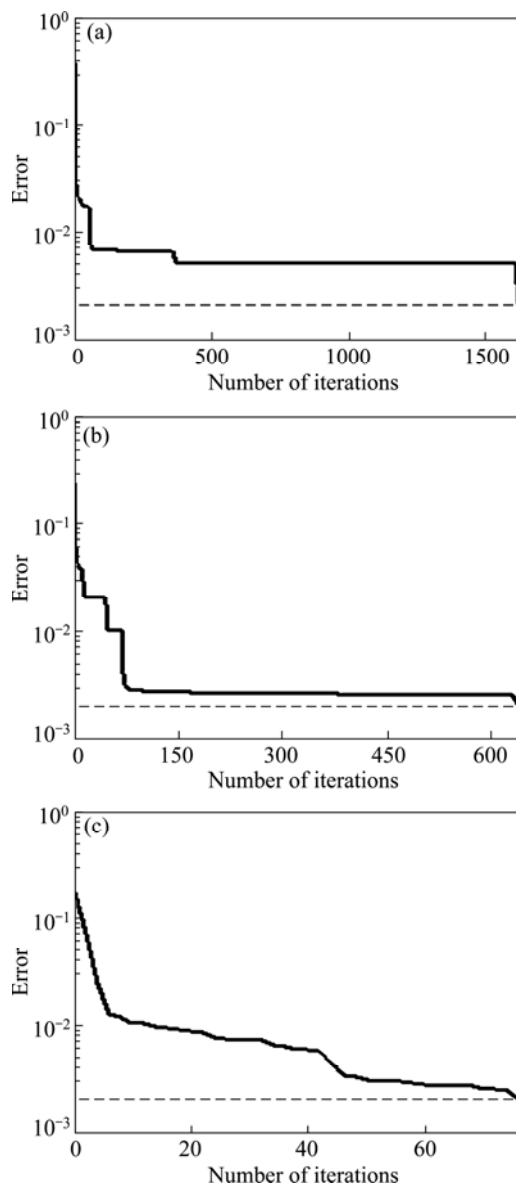
**Table 6** Performance comparison of three algorithms

Algorithm	Number of iterations	CPU time/s	Square sum of errors
BP algorithm	1625	23.87	0.00427
Improved BP algorithm	637	11.62	0.00191
PSO-ANN algorithm	76	3.95	0.00195

The error convergence curve shown in Fig. 11 is the convergence of the training network adopted by the BP algorithm, the improved BP algorithm and the PSO-ANN algorithm.

As observed from Fig. 11, at 1625 iterations, the BP algorithm started to step into a local minimum with an





**Fig. 11** Error convergence curves of BP algorithm (a), improved BP algorithm (b) and PSO-ANN algorithm (c)

error accuracy of 0.004127, and the convergence did not meet the requirements of the error precision. The number of iterations of the improved BP algorithm was 637. The running time of the PSO-ANN algorithm was 3.95 s, and the number of iterations was 76. In the case of the algorithms having the same accuracy, the PSO-ANN algorithm converged fast out of the three types of network training algorithms.

### 5.2.2 Comparison of network prediction results

To analyze the resilience of the volume prediction data of the training samples, the prediction results of the PSO-ANN hybrid algorithm, the BP algorithm and the improved BP algorithm were compared, as shown in Table 7.

As observed in Fig. 12 and Table 7, the artificial neural network model based on the standard BP algorithm, the improved BP algorithm and the PSO-ANN algorithm for springback prediction in incremental forming has achieved good results. Concerning the precision of the predictions, the maximum relative error of the BP network model was 6.868%, the average absolute error was 3.106%, and the mean root square error was 1.159%. In the improved BP network model, the average absolute prediction error was reduced to 2.257%, and the mean root square error was 0.8 %. The minimum relative error of the PSO-ANN model was only 0.017%, the maximum relative error was 3.069%, the average absolute error was reduced to 1.118%, and the mean root square error was 0.443%.

It was observed that the use of the BP algorithm of the neural network had a good predictive ability, and its degree of fitness was better. However, due to the inherent defects in the BP network (the slow convergence and tendency to fall into local minimum points), its predictive power was inferior to the PSO-ANN algorithm. This was because the particle swarm algorithm had the feature of global search, which was used to optimize the BP network weights, and the threshold value of the BP

**Table 7** Comparison of prediction results of neural network algorithms

Prediction sample number	Actual value/(°)	BP model		Improved BP model		PSO-ANN model	
		Prediction value/(°)	Relative error/%	Prediction value/(°)	Relative error/%	Prediction value/(°)	Relative error/%
1	10.08	9.807	2.709	9.789	2.899	10.233	-1.517
2	10.03	10.455	-4.239	10.046	-0.156	9.950	0.796
3	14.25	13.742	3.567	13.683	3.982	13.813	3.069
4	15.25	15.525	-1.804	15.759	-3.336	15.210	0.263
5	10.88	11.047	-1.535	10.597	2.599	10.697	1.683
6	19.62	19.067	2.821	19.735	-0.586	19.623	-0.017
7	23.28	23.034	1.058	22.849	1.851	23.052	0.982
8	19.27	20.594	-6.868	19.873	-3.127	19.525	-1.324
9	7.22	7.642	-5.841	7.339	-1.653	7.240	-0.273
10	10.60	10.535	0.615	10.348	2.382	10.734	-1.260

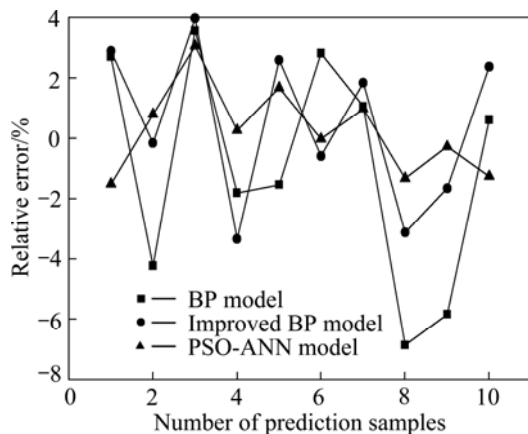


Fig. 12 Neural network prediction error curve

algorithm to overcome the inherent defects, while highlighting the local optimization ability of the BP algorithm, thus achieving a fast convergence rate and increased accuracy. Simulation results showed that among the three algorithms, the testing error of the model for the PSO-ANN algorithm was the smallest and the network generalization ability was the best. It had the highest prediction accuracy and was better than the other two algorithms in the optimal approximation of the non-linear relationship between the incremental sheet forming springback and the influence factors.

## 6 Conclusions

1) The three-dimensional elastoplastic finite element model of incremental sheet forming processes was generated. The contrast and analysis of the simulation and test results of the example showed that the finite element model was correct and reasonable; further, the springback angle was found to be in accordance with the experimental results.

2) An incremental sheet forming springback prediction model based on the particle swarm optimization neural network was established, the particle swarm optimization (PSO) algorithm was used to optimize the weights and thresholds of the neural network model, and the neural network was shown to have the ability to be trained based on available FEM simulation data.

3) The samples of the neural network prediction model for springback prediction were tested using the standard BP algorithm, the improved BP algorithm and the PSO algorithm. Through a comparative analysis with the prediction results, it was observed that the back propagation neural network prediction model of the PSO algorithm proposed improved not only the prediction accuracy, but also the learning speed of the network.

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## 基于 FEM-PSONN 技术的板材渐进成形回弹预测

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**摘 要:** 在板材渐进成形工艺中, 回弹是影响板材渐进成形件质量的一个重要因素。为了设计板材渐进成形过程中的工具路径, 精确地预测和控制回弹很有必要。利用三维弹塑性有限元模型模拟渐进成形工艺, 并将回弹角的模拟结果与实验结果进行比较, 结果吻合得很好, 说明本有限元模型是有效的。提出一种耦合人工神经网络和有限元法的技术(FEM-PSONN)模拟并预测不同工艺参数下制件的回弹量, 利用粒子群优化算法优化神经网络模型的权重和阈值。神经网络根据有限元模拟计算的样本库进行训练, 结果表明利用 FEM-PSONN 模型能更准确地预测回弹。**关键词:** 板材渐进成形(ISF); 回弹预测; 有限元法(FEM); 人工神经网络(ANN); 粒子群优化(PSO)算法

(Edited by Hua YANG)