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# First principles investigation of binary intermetallics in Mg–Al–Ca–Sn alloy: Stability, electronic structures, elastic properties and thermodynamic properties

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**Abstract:** The structural stability, electronic structures, elastic properties and thermodynamic properties of the main binary phases  $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$  and  $Mg_2Ca$  in Mg-Al-Ca-Sn alloy were determined from the first-principles calculation. The calculated lattice parameters are in good agreement with the experimental and literature values. The calculated heats of formation and cohesive energies show that  $Al_2Ca$  has the strongest alloying ability and structural stability. The densities of states (DOS), Mulliken electron occupation number, metallicity and charge density difference of these compounds are given. The elastic constants of  $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$  and  $Mg_2Ca$  phases are calculated, and the bulk moduli, shear moduli, elastic moduli and Poisson ratio are derived. The calculations of thermodynamic properties show that the Gibbs free energies of  $Al_2Ca$  and  $Mg_2Sn$  are lower than that of  $Mg_{17}Al_{12}$ , which indicates that  $Al_2Ca$  and  $Mg_2Sn$  are more stable than  $Mg_{17}Al_{12}$  phase. Hence, the heat resistance of Mg-Al-based alloys can be improved by adding Ca and Sn additions.

Key words: Mg-Al alloy; first-principles calculation; electronic structure; elastic properties; thermodynamic properties

#### **1** Introduction

As the lightest metallic structural material, magnesium alloys are widely used in automotive, aerospace, electronic equipment and other fields [1-3]. Especially, Mg-Al alloy system is the most widely used magnesium alloy because aluminum, as the most favorable alloying element, improves casting fluidity, hot-crack resistance and mechanical properties of magnesium without significantly raising its density. However, the poor elevated strength is a major obstacle to its wider structural applications because of the  $Mg_{17}Al_{12}$  phase at grain boundary, which softens and coarsens at temperatures exceeding 120 °C [4,5]. Hence, a lot of effort has been devoted to improve the mechanical properties of Mg-Al-based alloys. It has been shown that the mechanical properties of magnesium alloys could be improved remarkably by the alloying effect [6,7]. Due to the low cost, calcium and tin have been used to improve the poor heat resistance property of Mg-Al-based alloys, and there has been increasing attention to the development of the Mg-Al-Ca-Snbased alloys [8]. To the best of our knowledge, calcium was added to the Mg-Al-based alloys, in which both creep and damping capacity were significantly improved [9,10]. LIN et al [11] had studied the improvement of the mechanical properties at elevated temperature of die-cast AZ91 alloy with Ca addition by forming Al<sub>2</sub>Ca. The heat of formation, cohesive properties and density of states of Al<sub>2</sub>Ca and Mg<sub>2</sub>Ca were also calculated in their research. However, the hot-crack resistance of AZ91D alloy decreased with Ca addition [12]. Fortunately, the hot-crack resistance of AZ91 magnesium alloy increased with Sn addition [13]. Hence, Sn element could be chosen to improve the hot-crack resistance of Mg-Al-Ca alloys. Furthermore, RASHNO et al [14] and MAHMUDI et al [15] had studied the microstructure and creep behavior of magnesium alloys with Ca and Sn additions. They reported that the improvement on creep resistance for these alloys was mainly attributed to the formation of thermally stable intermetallics, which provided the pinning effect at the magnesium grain boundaries. YU et al [16] had calculated the electronic structure and elastic properties of AB<sub>2</sub> type Laves phase in Mg-Al-Ca alloy to study the underlying mechanism

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of structural stability and mechanical properties. ZHONG et al [17] had studied the first-principles calculation of three Laves phase structures in Al<sub>2</sub>Ca and Al<sub>2</sub>Mg compositions to predict the existence of Al<sub>2</sub>(Mg,Ca) Laves phase. MAO et al [18] had studied the structure stability, electronic structure and elastic property of the intermetallics of Sn alloying Mg–Zn–Ca alloy. However, no systematic theoretical study has been reported on electronic structures, elastic properties and thermodynamics of binary intermetallics in Mg–Al–Ca–Sn alloys.

In this work, we have carried out the first-principles theoretical calculations to investigate the electronic structures, elastic properties and thermodynamic properties of the binary intermetallics in Mg–Al–Ca–Sn alloy. The results give a valuable estimation for the properties unavailable in experiments, which provide theoretical basis for the development of a new type of magnesium alloy.

## 2 Computational methods

Cambridge sequential total energy package (CASTEP), a first-principles plane wave pseudopotentials method based on density function theory (DFT), was used for the calculations [19]. Generalized gradient approximation (GGA) of the Perdew–Burke– Ernzerhof (PBE) was used to describe the exchangecorrelation energy function [20,21]. The ultrasoft pseudo-potential was used to describe the interaction between ion-core and valence electron [22]. The parameters affecting the calculation accuracy are kinetic energy cutoff and the number of k-points network in Brillouin zone, and the cut-off energy of plane wave was set as 380 eV, the k-points separations for  $Mg_{17}Al_{12}$ , Al<sub>2</sub>Ca and Mg<sub>2</sub>Sn are 6×6×6 and that for Mg<sub>2</sub>Ca is  $6 \times 6 \times 4$ . The conjugate-gradient algorithm was used for lattice structure optimization. Relaxation and selfconsistent calculations of lattice constants and atomic positions was carried out by using the Broyden-Fletcher-Goldfarb-Shanno (BFGS) method. Geometry optimization was carried out under the electron relaxation until the total energy convergence was  $5.0 \times 10^{-8}$  eV/atom, and the force on all atoms was less than 0.01 eV/Å. The stress-strain method was used to calculate the elastic constants of Mg17Al12, Al2Ca, Mg2Ca and Mg<sub>2</sub>Sn. The maximum strain amplitude was set as 0.003. The total energy convergence was  $1.0 \times 10^{-6}$ eV/atom, the maximum force was 0.002 eV/Å, and the maximum displacement is  $1.0 \times 10^{-4}$  Å.

### **3** Results and discussion

#### 3.1 Crystal structure and lattice constant

The structure parameters and lattice constants of these phases are listed in Tables 1 and 2. The calculated

Table 1 Structure parameters and atomic coordinates of binary phases in Mg-Al-Ca-Sn alloys

Dhaga	Structure type	A tom number in cell	Succe group	Atom	A	Atomic coordinate		
FlidSe	Structure type	Atom number in cen	Space group	Atom	X	Atomic coordinate           X         Y         Z           0.5         0.5         0.5           0.32         0.32         0.32           0.36         0.36         0.04           0.09         0.09         0.28           0.625         0.625         0.625           0         0         0           0.25         0.25         0.25	Ζ	
				Mg(I)	0.5	0.5	0.5	
M~ 41	4.10	59	172	Mg(II)	0.32	0.32	0.32	
$NIg_{17}AI_{12}$	A12	38	145m	Mg(III)	0.36	Y         Z           0.5         0.5           0.32         0.32           0.36         0.04           0.09         0.28           0.625         0.625           0         0           0.25         0.25           0         0           0.667         0.062           0         0           0.340         0.25		
				Al	0.09		0.28	
	C15	24	Ed2m	Al	0.625	0.625	0.625	
Al <sub>2</sub> Ca	CIS	24	rasm	Ca	0	0.625 0.625 0 0 0.25 0.25	0	
Ma Ca	C1	12	E	Sn	0.25	0.25	0.25	
Mg <sub>2</sub> Sn	CI	12	Fmsm	Mg	0	0	0	
				Ca	0.333	0.667	0.062	
Mg <sub>2</sub> Ca	C14	12	P6 <sub>3</sub> /mmc	Mg(I)	0	0	I         Z           0.5         0.5           0.32         0.32           0.36         0.04           0.09         0.28           0.625         0.625           0         0           0.25         0.25           0         0           0.667         0.062           0         0           0.340         0.25	
				Mg(II)	0.170	0.340	0.25	

**Table 2** Equilibrium crystal parameters (a, c) and density  $(\rho)$  of binary phases in Mg–Al–Ca–Sn alloys

Phase		This work		Exj	0.	Cal.	
	<i>a</i> /nm	<i>c</i> /nm	$ ho/(g \cdot cm^{-3})$	<i>a</i> /nm	c/nm	<i>a</i> /nm	<i>c</i> /nm
$Mg_{17}Al_{12}$	0.915	-	2.07	0.915 [23]	_	0.906 [24]	-
Al <sub>2</sub> Ca	0.802	-	2.42	0.801 [17]	_	0.789 [25]	_
$Mg_2Sn$	0.669	-	3.49	0.6759 [26]	_	0.6825 [26]	-
Mg <sub>2</sub> Ca	0.622	1.020	1.72	0.6230 [26]	1.012 [26]	0.6234 [26]	1.0093 [26]

lattice constants are in good agreement with the experimental and other theoretical values, and the error is only 2.0%. Hence, the calculated results in this work are highly reliable.

#### 3.2 Heats of formation and cohesive energies

Based on the related theories of the first-principle calculation, a lower formation enthalpy means stronger alloying ability of a phase, and a lower cohesive energy indicates a more stable crystal lattice [27,28]. The formation enthalpy ( $\Delta H$ ) is defined to decompose the crystal into bulk pure elements and expressed by the following equation:

$$\Delta H = \frac{E_{\text{tot}}^{\text{AB}} - N_{\text{A}} E_{\text{solid}}^{\text{A}} - N_{\text{B}} E_{\text{solid}}^{\text{B}}}{N_{\text{A}} + N_{\text{B}}} \tag{1}$$

where  $E_{\text{tot}}^{AB}$  is the total energy of compound representing phases A and B,  $N_A$  and  $N_B$  are the atom numbers in the unit cell,  $E_{\text{solid}}^A$  and  $E_{\text{solid}}^B$  are the energies per atom of pure elements A and B, respectively. The calculated energies of Mg, Al, Ca and Sn in solid states are -973.9493, -56.4195, -1001.4756 and -95.4475 eV/atom, respectively. The calculated heats of formation of the binary phases in Mg-Al-Ca-Sn alloys are listed in Table 3.

**Table 3** Heat of formation ( $\Delta H$ ) and cohesive energy ( $E_{coh}$ ) of binary phases in Mg–Al–Ca–Sn alloys

	Δ	H/(kJ·mol	-1)	$E_{\rm coh}/({\rm k}$	$E_{\rm coh}/({\rm kJ}{\cdot}{\rm mol}^{-1})$		
Phase	This work	Exp.	Cal.	This work	Cal.		
Mg <sub>17</sub> Al <sub>12</sub>	-5.03		-3.281 [24]	-235.26	-237.84 [24]		
Al <sub>2</sub> Ca	-35.91	-33.98 [17]	-35.68 [25]	-334.71	-340.43 [25]		
Mg <sub>2</sub> Sn	-20.65	-24.30 [26]	-20.82 [26]	-255.12	-237.88 [18]		
Mg <sub>2</sub> Ca	-13.84	-12.23 [26]	-12.54 [25]	-169.28	-171.66 [25]		

The calculated heats of formation are in good agreement with experimental results and other theoretical values, which confirms reliability of our calculation method. Further analysis found that heats of formation of  $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$  and  $Mg_2Ca$  are all negative, which confirms that the structure of these phases exists stably. Furthermore, it can be concluded that  $Al_2Ca$  phase has the strongest alloying ability, next  $Mg_2Sn$ ,  $Mg_2Ca$  and finally  $Mg_{17}Al_{12}$ .

Whereas, cohesive energy  $E_{coh}$  is defined to decompose the crystal into single free atoms and expressed by the following equation:

$$E_{\rm coh} = \frac{E_{\rm tot}^{\rm AB} - N_{\rm A} E_{\rm atom}^{\rm A} - N_{\rm B} E_{\rm atom}^{\rm B}}{N_{\rm A} + N_{\rm B}}$$
(2)

where  $E_{\text{atom}}^{\text{A}}$  and  $E_{\text{atom}}^{\text{B}}$  refer to the energy per atom under freedom state. The calculated energies of Mg, Al, Ca and Sn in free states are -972.4847, -52.7251, -999.5694 and -91.0698 eV/atom, respectively. The obtained results are also listed in Table 3.

Further analysis found that  $Al_2Ca$  phase has the largest cohesive energy, and far more than those of Mg<sub>2</sub>Sn, Mg<sub>17</sub>Al<sub>12</sub> and Mg<sub>2</sub>Ca. In addition, Mg<sub>2</sub>Sn phase has higher cohesive energy than Mg<sub>17</sub>Al<sub>12</sub>, which indicates that Mg<sub>2</sub>Sn phase is more stable than Mg<sub>17</sub>Al<sub>12</sub>. All the analysis results indicate that adding Ca or Sn to Mg–Al alloys can promote the structural stability by forming Al<sub>2</sub>Ca and Mg<sub>2</sub>Sn phases, which agrees well with the reported experimental results [14,15].

#### 3.3 Electronic structures

In the present work, the electronic structures are calculated to have a further understand of the bonding of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca, and then to reveal the potential structural stability mechanism of these phases. The total and partial densities of states (DOS) of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca are presented in Fig. 1. From Fig. 1(a), it can be found that the main bonding peaks of Mg<sub>17</sub>Al<sub>12</sub> between -50 and -40 eV mainly originate from the contribution of valence electron number of Mg(p) and Al(p) orbits, while the main bonding peaks between -10 and 5 eV are the contribution of Al(s), Al(p), Mg(s) and Mg(p). From Fig. 1(b), the main bonding peaks of Al<sub>2</sub>Ca are located at three energy ranges. The main bonding peaks between -45 and -40 eV are dominated by the valence electron number of Ca(s), while the main bonding peaks between -25 and -20 eV are the contribution of Ca(p) and Al(p) orbits. In addition, the main bonding peaks between -10and 10 eV are the contribution of Ca(s), Ca(p), Ca(d), Al(s) and Al(p). From Fig. 1(c), the main bonding peaks of Mg<sub>2</sub>Sn between -45 and 40 eV mainly originate from the contribution of valence electron number of Mg(p)orbits, while the contributions of valence electron between -10 and 15 eV are Mg(s), Mg(p), Sn(s) and Sn(p). From Fig. 1(d), the main bonding peaks of Mg<sub>2</sub>Ca are located in three energy ranges. The main bonding peaks between -45 and -40 eV are dominated by the valence electron numbers of Ca(s) and Mg(p), while the main bonding peaks between -25 and -20 eV mainly originate from the contribution of valence electron number of Ca(p) orbits. In addition, the main bonding peaks between -10 and 5 eV are the contribution of Ca(s), Ca(d), Mg(s) and Mg(p). Further comparison of total densities of states (DOS) of these compounds per atom near the Fermi level is performed as shown in

205



Fig. 1 Total and partial DOS of  $Mg_{17}Al_{12}(a)$ ,  $Al_2Ca$  (b),  $Mg_2Sn$  (c) and  $Mg_2Ca$  (d) and total DOS of binary phases in Mg-Al-Ca-Sn alloys per atom (e)

Fig. 1(e). It is found that between the Fermi level and -10 eV the bonding electron numbers of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca are 2.42388, 2.67341, 2.66647 and 2.01234, respectively. The smaller the bonding electron number is, the weaker the charge interactions are [29]. It can be observed that structural stability for these phases decreases in the following sequence: Al<sub>2</sub>Ca>Mg<sub>2</sub>Sn> Mg<sub>17</sub>Al<sub>12</sub>>Mg<sub>2</sub>Ca, which obtains the same result with cohesive energy analysis, confirming conclusion from the electronic structure point of view.

The Mulliken electron occupation numbers of

 $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$  and  $Mg_2Ca$  are listed in Table 4. It is found that the charges of Mg transfer to Al in  $Mg_{17}Al_{12}$ , the transfer number (per atom) is 0.513; for  $Al_2Ca$ , the charges transfer from Ca atoms to Al atoms, the transfer number (per atom) is 0.92; for  $Mg_2Sn$ , the charges transfer from Mg atoms to Sn atoms, the transfer number (per atom) is 0.50; for  $Mg_2Ca$ , the charges transfer from Ca atoms to He transfer number (per atom) is 0.50; for  $Mg_2Ca$ , the charges transfer from Ca atoms to Mg atoms, the transfer number (per atom) is 0.90. The results indicate that the ionicity of these compounds increases in the following sequence:  $Mg_2Sn < Mg_{17}Al_{12} < Mg_2Ca < Al_2Ca$ .

Dhaga	Atom		Orbit	Total	Charge /a		
Phase	Atom	S	р	d	Total	Charge/e	
	Mg (I)	0.76	6.47	0.00	7.24	0.76	
M~ 41	Mg (II)	0.82	6.47	0.00	7.49	0.51	
$Mg_{17}AI_{12}$	Mg (III)	0.79	6.94	0.00	7.73	0.27	
_	Al	1.27	2.23	0.00	3.50	-0.50	
A1 C-	Al	1.20	2.26	0.00	3.46	-0.46	
Al <sub>2</sub> Ca	Ca	2.21	5.99	0.88	9.08	0.92	
Ma Sa	Mg	0.76	6.74	0.00	7.50	0.50	
Mg <sub>2</sub> Sn	Sn	1.46	3.54	0.00	5.00	-1.00	
	Mg (I)	0.92	7.45	0.00	8.37	-0.37	
Mg <sub>2</sub> Ca	Mg (II)	0.93	7.55	0.00	8.48	-0.48	
	Ca	2.44	6.00	0.66	9.10	0.90	

Table 4 Mulliken electron occupation numbers of  $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$  and  $Mg_2Ca$ 

The metallicity of the compound is estimated by the following equation [30]:

$$f_{\rm m} = \frac{n_{\rm m}}{n_{\rm e}} = \frac{k_{\rm B} T D_{\rm F}}{n_{\rm e}} = \frac{0.026 D_{\rm F}}{n_{\rm e}}$$
(3)

where  $D_{\rm F}$  is the DOS value at the Fermi level; *T* is the temperature;  $k_{\rm B}$  is the Boltzmann constant;  $n_{\rm m}$  and  $n_{\rm e}$  are the thermal excited electrons and valence electron density of the cell, respectively;  $n_{\rm e}$  is calculated by  $n_{\rm e}=N/V_{\rm cell}$ ; *N* is the total number of valence electrons and  $V_{\rm cell}$  is the cell volume. The related parameters and calculated results are shown in Table 5. It can be observed that  $f_{\rm m}$  increases in the following sequence: Mg<sub>2</sub>Sn<Al<sub>2</sub>Ca<Mg<sub>2</sub>Ca<Mg<sub>17</sub>Al<sub>12</sub>.

**Table 5** Density of states at Fermi level  $(D_F)$ , total number of valence electrons (N), cell volume  $(V_{cell})$  and metallicity parameter  $(f_m)$  of binary phases in Mg–Al–Ca–Sn alloys

Phase	$D_{\rm F}/{ m eV}$	Ν	$V_{\text{cell}}/\text{nm}^3$	$f_{\rm m}$
Mg <sub>17</sub> Al <sub>12</sub>	8.0653	195.6263	0.5909	0.6334
Al <sub>2</sub> Ca	2.8063	55.9817	0.1291	0.1682
$Mg_2Sn$	0.3956	44.0252	0.0796	0.0185
Mg <sub>2</sub> Ca	8.9363	128.2920	0.3351	0.6068

In order to further reveal the covalent and ionic bonding characteristics, the charge density difference was investigated. Charge density difference, which is defined as the electron density difference between the isolated atoms and their bonding states, can directly reflect the bonding characteristics. The results are shown in Fig. 2. The contour lines are plotted from -0.35 to  $0.35 \text{ e/Å}^3$  with  $0.15 \text{ e/Å}^3$  interval. In Fig. 2(a), the bonding between Al and its adjacent Al atom is mainly covalent, the bonding between Al and Mg is ionic and

the bonding between Mg and Mg is metallic. In Fig. 2(b), the bonding between Al and the nearest Al atom is covalent, the bonding between Al and Ca is ionic and the bonding between Ca and Ca is metallic. In Fig. 2(c), it is found that there are covalent Sn-Sn bonds, ionic Mg - Sn bonds and metallic Mg - Mg bonds. In Fig. 2(d), metallic Mg-Mg bonds, ionic Mg-Ca bonds and covalent Ca-Ca bonds are found in Mg<sub>2</sub>Ca. Furthermore, the bonding characteristics of Mg<sub>17</sub>Al<sub>12</sub> and Mg<sub>2</sub>Ca are found to be dominantly ionic, and the bonding characteristics of Al<sub>2</sub>Ca and Mg<sub>2</sub>Sn are found to be dominantly covalent. Based on the above discussion, it can be found that Al2Ca and Mg2Sn have stronger structural stability than Mg<sub>17</sub>Al<sub>12</sub>, which agrees well with the above calculated results of the heat of formation and the cohesive energy.

#### 3.4 Elastic properties

Elastic constants relate to the deformation resistant capacity to an externally applied stress. Cubic crystal has three independent elastic constants namely  $C_{11}$ ,  $C_{12}$  and  $C_{44}$ , the corresponding stability criteria [31] are:  $C_{11}>0$ ,  $C_{11}>|C_{12}|$ ,  $C_{44}>0$ ,  $C_{11}+2C_{12}>0$ . Hexagonal crystal has six elastic constants ( $C_{11}$ ,  $C_{12}$ ,  $C_{13}$ ,  $C_{33}$ ,  $C_{44}$  and  $C_{66}$ ), and only five of them are independent since  $C_{66}=(C_{11}-C_{12})/2$ , the corresponding stability criteria [32] are:  $C_{11}>0$ ,  $C_{11}-C_{12}>0$ ,  $C_{44}>0$ ,  $C_{66}>0$  and  $(C_{11}+C_{12})C_{33}-2$   $C_{13}^2>0$ . The elastic properties of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca, which are important for manufacturing Mg–Al-based alloys with Ca and Sn addition, will be briefly discussed in this part. The calculated elastic constants for these phases in the present work are tabulated in Table 6.

 $Mg_{17}Al_{12}$ ,  $Al_2Ca$  and  $Mg_2Sn$  phases belong to cubic crystals. The bulk modulus (*B*) and shear modulus (*G*) of  $Mg_{17}Al_{12}$ ,  $Al_2Ca$  and  $Mg_2Sn$  phases were calculated as follows [37]:

$$B = \frac{1}{3} \left( C_{11} + 2C_{12} \right) \tag{4}$$

$$G = \frac{1}{5} \left( C_{11} - C_{12} + 3C_{44} \right) \tag{5}$$

Mg<sub>2</sub>Ca phase belongs to hexagonal crystals. The bulk modulus (*B*) and shear modulus (*G*) of Mg<sub>2</sub>Ca phases were calculated as follows [37]:

$$B_{\rm V} = \frac{2}{9} \left( C_{11} + C_{12} + \frac{C_{33}}{2} + 2C_{13} \right) \tag{6}$$

$$G_{\rm V} = \frac{1}{30} \left( 7C_{11} - 5C_{12} + 12C_{44} + 2C_{33} - 4C_{13} \right) \tag{7}$$

$$B_{\rm R} = \frac{\left(C_{11} + C_{12}\right)C_{33} - 2C_{13}^2}{C_{11} + C_{12} + 2C_{33} - 4C_{13}} \tag{8}$$



Fig. 2 Electron density difference of  $Mg_{17}Al_{12}$  (a),  $Al_2Ca$  (b),  $Mg_2Sn$  (c) and  $Mg_2Ca$  (d)

Dhaga			Elastic consta	ant/GPa			Source
Phase	$C_{11}$	$C_{12}$	$C_{13}$	$C_{33}$	$C_{44}$	$C_{66}$	Source
$M_{\infty} = A_{1}$	82.94	26.38	-	—	28.23	-	This work
Mg <sub>17</sub> Al <sub>12</sub>	107.9	14.4	-	_	34.3	-	Cal. [33]
Al <sub>2</sub> Ca	109.24	29.03	-	-	45.10	-	This work
	105.676	31.071	-	-	37.303	-	Cal. [16]
	78.57	28.07	-	-	24.42	-	This work
$Mg_2Sn$	68.55	25.95	-	-	30.32	-	Cal. [18]
	82.40	20.80	-	-	36.60	-	Exp. [34]
Mg <sub>2</sub> Ca	55.60	19.76	18.05	52.87	16.35	17.92	This work
	51.43	22.31	14.73	58.51	14.32	14.56	Cal. [35]
	61.20	17.60	15.0	65.50	19.20	21.80	Exp. [36]

 Table 6 Calculated elastic constants of binary phases in Mg-Al-Ca-Sn alloys

$$G_{\rm R} = \frac{5}{2} \left\{ \left\{ \left[ \left( C_{11} + C_{12} \right) C_{33} - 2C_{13}^2 \right] C_{44} C_{66} \right\} / \left\{ 3B_{\rm V} C_{44} C_{66} + \left[ \left( C_{11} + C_{12} \right) C_{33} - 2C_{13}^2 \right] \left( C_{44} + C_{66} \right) \right\} \right\}$$
(9)

$$B = \frac{1}{2} \left( B_{\rm V} + B_{\rm R} \right) \tag{10}$$

$$G = \frac{1}{2} \left( G_{\rm V} + G_{\rm R} \right) \tag{11}$$

The Poisson ratio ( $\nu$ ) and elastic modulus (*E*) of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca phases were deduced according to the following equations [37]:

$$E = \frac{9BG}{3B+G} \tag{12}$$

$$\upsilon = \frac{3B - E}{6B} \tag{13}$$

The calculated mechanical parameters of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca are listed in Table 7. The bulk modulus (B) of a substance measured the substance's resistance to applied pressure [38]. The larger the bulk modulus is, the stronger the capacity of the resist deformation is. From Table 7, it can be concluded that the largest bulk modulus of Al<sub>2</sub>Ca has the strongest resistance to volume change by applied pressure, next Mg<sub>17</sub>Al<sub>12</sub> and Mg<sub>2</sub>Sn, finally Mg<sub>2</sub>Ca. Similarly, the shear modulus is a measure of resist reversible deformation by shear stress [38]. The larger the resistance is, the stronger the capacity of the resist shear deformation is. The calculated results demonstrate that Al<sub>2</sub>Ca has the largest resistance, followed by Mg<sub>17</sub>Al<sub>12</sub>, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca. Hence, the deformation resistant capacity of Al<sub>2</sub>Ca would be much stronger than that of Mg<sub>17</sub>Al<sub>12</sub>, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca. In addition, Poisson ratio was used to quantify the stability of the crystal against shear, which usually ranges from -1 to 0.5. The bigger the Poisson ratio is, the better the plasticity is. From Table 7, the calculated results demonstrate that Mg<sub>2</sub>Sn has the best plasticity because of the largest Poisson ratio, next Mg<sub>2</sub>Ca and Mg<sub>17</sub>Al<sub>12</sub>, finally Al<sub>2</sub>Ca. Elastic modulus is defined as the ratio of stress to strain, and it can be used to provide a measure of the stiffness of the solid. The larger the E is, the stiffer the material is. The calculated results in Table 7 indicate that the stiffness of Al<sub>2</sub>Ca is the largest, then Mg<sub>17</sub>Al<sub>12</sub> and Mg<sub>2</sub>Sn, finally Mg<sub>2</sub>Ca.

**Table 7** Bulk modulus (*B*), shear modulus (*G*), elastic modulus (*E*), elastic constants ( $C_{ij}$ ), *G*/*B*, and Poisson ratio (*v*) of binary phases in Mg–Al–Ca–Sn alloys

Dhara	<i>B</i> /	G/	E/	$(C_{11}-C_{12})/$	$(C_{12}-C_{44})/$		
Phase	GPa	GPa	GPa	GPa	GPa	G/B	V
Mg <sub>17</sub> Al <sub>12</sub>	45.23	28.25	70.12	56.56	-1.85	0.625	0.242
Al <sub>2</sub> Ca	55.77	43.10	103.59	80.21	-16.07	0.773	0.190
$Mg_2Sn$	44.90	24.75	62.25	50.50	3.65	0.551	0.269
Mg <sub>2</sub> Ca	30.62	17.31	43.71	35.84	3.41	0.565	0.262

The ratio of shear modulus to bulk modulus (*G/B*) of polycrystalline phases was used to predict the brittle and ductile behavior of materials [38]. The critical value, which is used to separate brittleness from ductility, is about 0.57. The *G/B* values of Mg<sub>17</sub>Al<sub>12</sub>, Al<sub>2</sub>Ca, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca are 0.625, 0.773, 0.551 and 0.565, respectively. So, Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca are ductile, Mg<sub>17</sub>Al<sub>12</sub> and Al<sub>2</sub>Ca are brittle. Furthermore, the calculated *G/B* for Al<sub>2</sub>Ca is the largest, indicating that Al<sub>2</sub>Ca is the most

brittle among the four phases. The ductility or brittleness of crystal is also determined by its  $C_{12}$ - $C_{44}$  value [39]. The phase with positive value is ductile, otherwise, it is brittle. Hence, ductile phases are Mg<sub>2</sub>Sn and Mg<sub>2</sub>Ca, while Mg<sub>17</sub>Al<sub>12</sub> and Al<sub>2</sub>Ca are thought as brittle phases.

#### 3.5 Thermodynamics stabilities

The thermodynamic properties of the binary phases in Mg–Al–Ca–Sn alloys are calculated in this section. As a fundamental parameter, the Debye temperature ( $\Theta_D$ ) correlates with many physical properties of solids, such as elastic constants, melting temperature and specific heat. At low temperatures, the Debye temperature was calculated from elastic constants, which are got from the earlier calculation [40], since  $\Theta_D$  may be calculated from the average sound velocity ( $v_m$ ) via the following equation [41]:

$$\Theta_{\rm D} = \frac{\hbar}{k_{\rm B}} \left[ \frac{3n}{4\pi} \left( \frac{n_{\rm A} \rho}{M} \right) \right]^{\frac{1}{3}} v_{\rm m} \tag{14}$$

where  $\hbar$  is the Planck's constant;  $k_{\rm B}$  is the Boltzmann constant;  $n_{\rm A}$  is the Avogadro number; n is the total number of atoms per formula;  $\rho$  is the density; M is the relative molecular mass per formula. The average wave velocity in the polycrystalline material can be approximately calculated by using the following equation:

$$v_{\rm m} = \left[\frac{1}{3} \left(\frac{2}{v_{\rm t}^3} + \frac{1}{v_{\rm l}^3}\right)\right]^{-\frac{1}{3}}$$
(15)

where  $v_1$  and  $v_t$  are longitudinal and transverse sound velocities, respectively, which are gained from the Hill's bulk modulus and shear modulus from the Navier's equation [42]:

$$v_1 = \sqrt{\left(B + \frac{4}{3}G\right)\frac{1}{\rho}} \tag{16}$$

$$v_t = \sqrt{G/\rho} \tag{17}$$

The calculated results of  $\Theta_D$ ,  $v_l$  and  $v_t$  are listed in Table 8. The calculated Debye temperature agrees well with the reported theoretical values. The largest  $\Theta_D$  is 498.88 K for Al<sub>2</sub>Ca. The  $\Theta_D$  is used to characterize the strength of covalent bonds in solids. From Table 8, it can be concluded that the covalent bond in Al<sub>2</sub>Ca is stronger than that of other phases. Hence, the mechanical and thermal stability of Al<sub>2</sub>Ca is the best one among four phases, which agrees with the earlier calculated elastic constant.

To study the structure stability of the binary phases in Mg–Al–Ca–Sn alloys at different temperatures, the global phonon spectrum is calculated, since the knowledge of the global phonon spectrum of a given

**Table 8** Theoretically calculated thermal properties of binary phases in Mg–Al–Ca–Sn alloys including longitudinal sound velocity, shear sound velocity and average sound velocity, and Debye temperature

Phase	$v_1/(m \cdot s^{-1})$	$v_{\rm s}/({\rm m}\cdot{\rm s}^{-1})$	$v_{\rm m}/({\rm m}\cdot{\rm s}^{-1})$	$\Theta_{\rm D}/{\rm K}$	Source
Mg <sub>17</sub> Al <sub>12</sub>	6328.246	3694.231	4097.258	447.94	This work
	6222.4847	3745.3995	4142.0301	452.0478	Cal. [43]
Al <sub>2</sub> Ca	6840.469	4220.18	4655.402	498.88	This work
Mg <sub>2</sub> Sn	4724.502	2663.023	2962.493	296.32	This work
	-	_	_	273.35	Cal. [44]
Mg <sub>2</sub> Ca	5531.622	3128.08	3478.918	340.10	This work
	-	-	_	328.7	Cal. [45]

system enables the investigation of its significant thermodynamic quantities and the relative stability of its various phases at different temperatures [46]. The enthalpy E(T), entropy S(T) and free energy F(T) are given by applying the following formula [47]:

$$E(T) = E_{\text{tot}} + E_{\text{zp}} + \int \frac{\hbar\omega}{\exp[\hbar\omega/(k_{\text{B}}T)]} F(\omega) d\omega \qquad (18)$$

$$S(T) = k_{\rm B} \{ \int \frac{\hbar \omega / k_{\rm B} T}{\exp[\hbar \omega / (k_{\rm B} T)] - 1} F(\omega) d\omega - \int F(\omega) \ln\{1 - \exp[-\hbar \omega / (k_{\rm B} T)]\} d\omega$$
(19)

 $F(T) = E_{\rm tot} + E_{\rm zp} +$ 

$$k_{\rm B}T \int F(\omega) \ln\{1 - \exp[-\hbar\omega/(k_{\rm B}T)]\} d\omega$$
 (20)

where  $E_{\text{tot}}$  is the total energy,  $E_{\text{zp}}$  is the zero point energy,  $\hbar$  is the Planck's constant,  $F(\omega)$  is the phonon density of states and T is temperature. In this work, the free energy (F) is equal to the Gibbs free energy (G) because the calculations were carried out under 0 GPa. The enthalpy, entropy and Gibbs free energy for the binary phases in Mg–Al–Ca–Sn alloys as a function of temperature are shown in Fig. 3.

It can be seen from Fig. 3(a) that the enthalpy increases with increasing temperature. At temperatures up to 222 K, the enthalpies have the following sequence: Al<sub>2</sub>Ca>Mg<sub>2</sub>Sn>Mg<sub>2</sub>Ca>Mg<sub>17</sub>Al<sub>12</sub>. In the range of 222–283 K, the growth trend of enthalpies *H* is Al<sub>2</sub>Ca>Mg<sub>2</sub>Ca>Mg<sub>2</sub>Sn>Mg<sub>17</sub>Al<sub>12</sub>. And in the range of 283–1000 K, the growth trend of *H* is Al<sub>2</sub>Ca>Mg<sub>2</sub>Ca>



**Fig. 3** Thermodynamic properties of vibrational enthalpy (a), entropy (b) and Gibbs free energy (c) for binary phases in Mg–Al–Ca–Sn alloys

 $Mg_{17}Al_{12} > Mg_2Sn$ . From Fig. 3(b), it can be seen that the entropy increases gradually with increasing temperature. When the temperature is lower than 60 K, the values of entropies (*S*) have the following sequence:  $Mg_2Sn$ >  $Al_2Ca>Mg_2Ca>Mg_{17}Al_{12}$ . In the range of 60–1000 K, the values of *S* have the following sequence:  $Al_2Ca>Mg_2Sn$ >  $Mg_2Ca>Mg_{17}Al_{12}$ . From Fig. 3(c), it is found that the Gibbs free energy gradually decreases with increasing temperature. It is noted that, when the temperature is lower than 100 K, the growth trend of *G* is:  $Mg_{17}Al_{12}>Mg_2Ca>Al_2Ca>Mg_2Sn$ . In the range of 100–1000 K, the values of Gibbs free energy have the following sequence:  $Mg_{17}Al_{12}>Mg_2Ca>Mg_2Sn>Al_2Ca$ . The smaller the Gibbs free energy is, the better the thermal stability of compounds is [29]. Hence, the calculated Gibbs free energies show that the thermal stability of these compounds gradually increases in the order of  $Mg_{17}Al_{12}$ ,  $Mg_2Ca$ ,  $Mg_2Sn$  and  $Al_2Ca$  phases in the range of 273-573 K. The thermal stabilities of  $Al_2Ca$  and  $Mg_2Sn$  phases are better than that of  $Mg_{17}Al_{12}$  phase and do not change with the elevated temperature, that is to say, Ca and Sn additions to the Mg-Al alloys can improve the heat resistance by forming  $Al_2Ca$  and  $Mg_2Sn$  phases, which can well provide the theoretical basis for the development of a new type of heat resistant magnesium alloy from the point of view of alloy energy.

#### 4 Conclusions

1) The calculated lattice parameters are in good agreement with the experimental and literature values. The calculated heats of formation and cohesive energies show that  $Al_2Ca$  has the strongest alloying ability.

2) The calculation of bonding electron number shows that  $Al_2Ca$  has the strongest structural stability, then  $Mg_2Sn$  and  $Mg_{17}Al_{12}$ , finally  $Mg_2Ca$ . The metallicity of the compound is estimated. Charge density difference can directly reflect the bonding characteristics. The bonding characteristics of  $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$  and  $Mg_2Ca$  are all covalent bonds, ionic bonds and metallic bonds.

3) The elastic constants of  $Mg_{17}Al_{12}$ ,  $Al_2Ca$ ,  $Mg_2Sn$ and  $Mg_2Ca$  phases are calculated. The results of bulk modulus, shear modulus, elastic modulus and Poisson ratio show that  $Al_2Ca$  has the strongest resist deformation capacity, the plasticity of  $Mg_2Sn$  phase is the best, and the stiffness of  $Al_2Ca$  is the largest.  $Mg_2Sn$  and  $Mg_2Ca$ are ductile, and  $Mg_{17}Al_{12}$  and  $Al_2Ca$  are brittle.

4) The calculated Debye temperature agrees well with the reported theoretical and experimental values. The calculated Gibbs free energy shows that the thermal stability of these compounds gradually increases in the order of  $Mg_{17}Al_{12}$ ,  $Mg_2Ca$ ,  $Mg_2Sn$  and  $Al_2Ca$ .

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# Mg-Al-Ca-Sn 合金中二元相金属间化合物 结构稳定性、电子结构、弹性性质和 热力学性质的第一性原理计算

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摘 要:通过第一性原理计算方法研究 Mg-Al-Ca-Sn 合金中主要强化相 Mg<sub>17</sub>Al<sub>12</sub>、Al<sub>2</sub>Ca、Mg<sub>2</sub>Sn 和 Mg<sub>2</sub>Ca 的 结构稳定性、电子结构、弹性常数和热力学性质。计算所得晶格常数与实验值及文献值吻合。合金形成热和结合 能计算结果表明,Al<sub>2</sub>Ca 具有最强的合金形成能力和结构稳定性。通过对这些化合物的态密度、Mulliken 电子占 据数、金属性和差分电荷密度计算分析其结构稳定性机制。通过计算 Mg<sub>17</sub>Al<sub>12</sub>、Al<sub>2</sub>Ca、Mg<sub>2</sub>Sn 和 Mg<sub>2</sub>Ca 的弹性 常数,推导出各相的体模量、剪切模量、弹性模量和泊松比。热力学性质计算结果表明,Al<sub>2</sub>Ca 和 Mg<sub>2</sub>Sn 的 Gibbs 自由能低于 Mg<sub>17</sub>Al<sub>12</sub>,即 Al<sub>2</sub>Ca 和 Mg<sub>2</sub>Sn 的晶体结构稳定性优于 Mg<sub>17</sub>Al<sub>12</sub> 相。因此,通过添加 Ca 和 Sn 元素可 以提高 Mg-Al 系合金的热力学稳定性。

关键词: Mg-Al 系合金; 第一性原理计算; 电子结构; 弹性性质; 热力学性质

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212