

Electronic structure of Au-Cu alloys^①

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Abstract: By studying the correlativity between energy, volume and electronic structure of characteristic crystals and bound conditions of OA theory, the potential energy function, atomic volume interactive function and electronic structure of Au-Cu alloys have been determined. Then following the general Vegard's law in characteristic theory, the electronic structure and properties of disordered continue solid solution and three ordered alloys with the maximum ordering degree are calculated. It is found that the non bonding electrons and near free electrons in outer shell will transform to covalent electrons during alloying. By analyzing the variation of electronic structure and cohesion of ordering and disordered alloys, the transformation of order-disorder transition Au-Cu alloy has been studied.

Key words: CC theory; electronic structure; Au-Cu alloy; ordering transformation

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1 INTRODUCTION

As a traditional alloy, Au-Cu system is extensively applied in catalysis, electronic industry and biological material field. Recently, some new functions of the alloy are found in nano-crystal and thin film materials^[1-4]. It is well known that there exist transformations of order-disorder transition in Au-Cu system. Comprehensive theoretical and experimental studies have been contributed to these transformations^[5-8]. Most of these studies are focused on the thermodynamic properties and dynamic process. The present paper attempts to explore the intrinsic causes of order-disorder transitions by studying the interactions of the constituents and the correlativity among energy, volume and electronic structure of characteristic crystals in the alloy.

The potential energy and volume interaction functions of Au-Cu alloy are established according to CC theory, and then the electronic structures and physico properties of ordering and disordering structures in the alloy are calculated. As a consequence the intrinsic causes of order-disorder transformation in the alloy are analyzed.

2 INTERACTION FUNCTIONS OF POTENTIAL ENERGY AND VOLUME IN DISORDERED ALLOY

Characteristic crystals(CC) theory^[9-14] are developed from central atoms model^[15]. According to the central atoms model, even atoms of the same component in solid solution alloys may have different electronic structures and properties due to variant environment, i.e. variant coordination number and coordinate atoms, and separate into different characteristic atoms. For alloys of two components, there are characteristic atoms: $A_0 \dots A_i \dots A_I$, $B_0 \dots B_i \dots B_I$ where i is the number of B atoms in the nearest neighboring shell, and I is the coordination number. Characteristic crystal consists of one certain characteristic atom and has the same crystal structure with the alloy. Ref. [9] derived the relationship between i and atom's potential energy and volume of characteristic crystals. Table 1 lists the interaction functions of potential energy and volume in disordered alloy.

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3 POTENTIAL ENERGY FUNCTIONS OF Au-Cu ALLOYS

The parameters and E_0^{Au} and E_0^{Cu} are, respectively, molar potential energies of characteristic crystal with primary states. Because the Au-Cu alloys have the same type of fcc crystal structure as that of pure elements Au and Cu, the characteristic crystals with primary states ϕ_0^{Au} and ϕ_0^{Cu} are respectively pure elements with atomic states ϕ_{Au}^0 and ϕ_{Cu}^0 . From this, it can be known that $E_0^{\text{Au}} = E_{\text{Au}}^0$, $E_0^{\text{Cu}} = E_{\text{Cu}}^0$ where E_{Au} and E_{Cu} are equal to negative value of cohesive energies of pure metal Au and Cu respectively. The parameters E_I^{Au} and E_0^{Cu} are, respectively, molar potential energies of characteristic crystal with terminal states ϕ_I^{Au} and ϕ_0^{Cu} . The values of E_I^{Au} and E_0^{Cu} in the nine potential interaction functions can be, in principle, determined by experimental values of heat of formation of only two alloys.

Once the values of parameters E_0^{Au} , E_I^{Au} , E_0^{Cu} and

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E_I^{Cu} are known, the cohesive energies of characteristic crystal can be calculated from the corresponding potential functions of components where the subscript i is the number of Cu atoms in the nearest neighboring configuration

and can change from 0 to I . I is the coordination number and is equal to 12 for Au-Cu alloys. Table 2 lists the cohesive energies of Au and Cu characteristic in Au-Cu alloys.

Table 1 Interaction functions of potential energy($Q = E$) and volume($Q = V$) of disordered binary solid solution

No.	Interaction functions
1	$Q = x_A Q_0^A + x_B Q_I^B + x_A x_B [(Q_I^A - Q_0^A) + (Q_0^B - Q_I^B)]$
2	$Q = x_A Q_0^A + x_B Q_I^B + x_A x_B [(Q_I^A - Q_0^A) + \frac{(I-1)x_A^2 x_B + x_A x_B}{I} (Q_0^B - Q_I^B)]$
3	$Q = x_A Q_0^A + x_B Q_I^B + x_A x_B [(Q_I^A - Q_0^A) + \frac{(I-1)x_A x_B^2 + I x_A x_B}{I} (Q_0^B - Q_I^B)]$
4	$Q = x_A Q_0^A + x_B Q_I^B + \frac{(I-1)x_A x_B^2 + x_A x_B}{I} (Q_I^A - Q_0^A) + x_A x_B [(Q_0^B - Q_I^B)]$
5	$Q = x_A Q_0^A + x_B Q_I^B + \frac{(I-1)x_A x_B^2 + x_A x_B}{I} (Q_I^A - Q_0^A) + \frac{(I-1)x_A^2 x_B + x_A x_B}{I} (Q_0^B - Q_I^B)$
6	$Q = x_A Q_0^A + x_B Q_I^B + x_A x_B (Q_I^A - Q_0^A) + \frac{(I-1)x_A^2 x_B + x_A x_B}{I} (Q_0^B - Q_I^B)$
7	$Q = x_A Q_0^A + x_B Q_I^B + \frac{(I-1)x_A^2 x_B + I x_A x_B}{I} (Q_I^A - Q_0^A) + x_A x_B (Q_0^B - Q_I^B)$
8	$Q = x_A Q_0^A + x_B Q_I^B + \frac{(I-1)x_A^2 x_B + I x_A x_B}{I} (Q_I^A - Q_0^A) + \frac{(I-1)x_A^2 x_B + x_A x_B}{I} (Q_0^B - Q_I^B)$
9	$Q = x_A Q_0^A + x_B Q_I^B + \frac{(I-1)x_A^2 x_B + I x_A x_B}{I} (Q_I^A - Q_0^A) + \frac{(I-1)x_A x_B^2 + I x_A x_B}{I} (Q_0^B - Q_I^B)$

Table 2 Cohesive energies of Au and Cu characteristic crystals in Au-Cu alloys(kJ/mol)

No.	i	0	1	2	3	4	5	6	7	8	9	10	11	12
1	Au	367.54	367.07	366.61	366.14	365.68	365.22	364.75	364.29	363.82	363.36	362.89	362.43	361.96
	Cu	361.96	359.82	357.68	355.55	353.41	351.27	349.13	346.99	344.85	342.71	340.57	338.43	336.29
2	Au	367.93	370.15	372.36	374.58	376.79	379.01	381.22	383.44	385.65	387.87	390.08	392.30	394.51
	Cu	323.79	325.72	327.48	329.07	330.50	331.76	332.85	333.77	334.53	335.12	335.54	335.79	335.87
3	Au	367.93	368.13	368.34	368.54	368.74	368.94	369.14	369.34	369.54	369.74	369.94	370.14	370.34
	Cu	347.96	347.87	347.62	347.20	346.61	345.86	344.94	343.84	342.59	341.16	339.56	337.80	335.87
4	Au	367.93	368.02	368.27	368.69	369.28	370.03	370.95	372.05	373.30	374.73	376.33	378.09	380.02
	Cu	349.36	348.23	347.11	345.99	344.86	343.74	342.62	341.49	340.37	339.24	338.12	337.00	335.87
5	Au	367.93	368.10	368.62	369.47	370.66	372.19	374.07	376.28	378.84	381.73	384.97	388.55	392.47
	Cu	348.32	346.33	344.52	342.87	341.41	340.11	338.98	338.03	337.26	336.65	336.22	335.96	335.87
6	Au	367.93	367.92	367.86	367.77	367.64	367.48	367.28	367.04	366.77	366.46	366.11	365.73	365.31
	Cu	350.58	350.48	350.18	349.67	348.95	348.03	346.91	345.58	344.05	342.31	340.37	338.22	335.87
7	Au	367.93	366.00	364.24	362.65	361.22	359.96	358.87	357.95	357.19	356.60	356.18	355.93	355.85
	Cu	373.53	370.39	367.25	364.11	360.98	357.84	354.70	351.56	348.42	345.29	342.15	339.01	335.87
8	Au	367.93	372.56	376.79	380.62	384.04	387.06	389.68	391.89	393.71	395.12	396.12	396.73	396.93
	Cu	294.79	301.36	307.35	312.77	317.62	321.89	325.60	328.74	331.31	333.30	334.73	335.59	335.87
9	Au	367.93	368.07	368.19	368.29	368.39	368.48	368.55	368.62	368.67	368.71	368.74	368.75	368.76
	Cu	348.78	348.69	348.42	347.98	347.35	346.54	345.56	344.39	343.04	341.52	339.82	337.93	335.87

4 VOLUME INTERACTION FUNCTIONS OF Au-Cu ALLOYS

If V is used instead of E , nine potential energy functions in Table 1 become nine V -functions of CC theory. Parameters V_0^{Au} and V_I^{Cu} are respectively the atom volumes with primary state of Au and Cu characteristic crystals. Because the Au-Cu alloys have the same type of fcc crystal structure as that of pure elements Au and Cu, the characteristic crystals with primary states ϕ_0^{Au} and ϕ_I^{Cu} are respectively pure elements with atomic states ϕ_{Au}^0 and ϕ_{Cu}^0 . From this it can be known that, $V_0^{\text{Au}} = V_{\text{Au}}^0$, $V_I^{\text{Cu}} = V_{\text{Cu}}^0$. The parameters, V_I^{Au} and V_0^{Cu} , are respectively atomic volumes of terminal characteristic crystals ϕ_I^{Au} and ϕ_0^{Cu} of components Au and Cu. The values of the nine V -function can be, in principle, determined by experimental values of lattice constants of only two alloys.

Once the values of V_0^{Au} , V_I^{Au} , V_I^{Cu} and V_0^{Cu} of each V -function are known, the lattice constants a_i^{Au} and V_i^{Cu} of characteristic crystal, obtained by equation ($a = (4V)^{1/3}$), can be calculated from the atomic volume equations of components corresponding to each V -function of the Au-Cu alloys. The results are listed in Table 3.

5 ELECTRONIC STRUCTURE OF CHARACTERISTIC CRYSTAL IN Au-Cu ALLOYS

Obtaining the cohesive energies and lattice constants of characteristic crystals in alloys, the electronic structure can be calculated according to OA theory^[16, 17]. In OA theory, the electronic structures of pure metals is described by the quasi-electron occupation (QEO) number of the one-atom state ϕ_a which is made up of some basic atom states ϕ_k :

$$\phi_a = \sum_k c_k \phi_k \quad (1)$$

The atoms are condensed into the crystal by metallic bond, which is a mixing bond of un-saturated covalent electronic bond, free electronic bond and magnetic electronic bond. Therefore, there exist covalent electrons (n_c), near-free electrons (n_f), magnetic electrons (n_m), and non-bond electrons (n_n) in the metals. The potential function with many atom interactions in solid relates the atomic state to the cohesive energy and lattice constant of the crystal. For a given crystal with a certain electronic structure, a set of more complete data about cohesive energy, lattice constants, bulk modulus, elastic modulus, shear modulus, Poisson's ratio, specific heat and thermal expansion coefficient as a function of temperature can be

Table 3 Lattice constants of Au and Cu characteristic crystals in Au-Cu alloys (nm)

No.	i	0	1	2	3	4	5	6	7	8	9	10	11	12
1	Au	0.4078	0.4063	0.4048	0.4033	0.4018	0.4003	0.3987	0.3971	0.3956	0.3940	0.3924	0.3908	0.3891
	Cu	0.3891	0.3870	0.3848	0.3826	0.3803	0.3781	0.3758	0.3735	0.3711	0.3687	0.3663	0.3639	0.3614
2	Au	0.4078	0.4082	0.4087	0.4091	0.4095	0.4099	0.4103	0.4108	0.4112	0.4116	0.4120	0.4124	0.4129
	Cu	0.3627	0.3625	0.3623	0.3622	0.3620	0.3619	0.3618	0.3617	0.3616	0.3615	0.3615	0.3615	0.3615
3	Au	0.4078	0.4084	0.4090	0.4096	0.4101	0.4107	0.4113	0.4119	0.4125	0.4130	0.4136	0.4142	0.4148
	Cu	0.3602	0.3602	0.3603	0.3603	0.3604	0.3604	0.3605	0.3606	0.3608	0.3609	0.3611	0.3613	0.3615
4	Au	0.4078	0.4078	0.4078	0.4077	0.4077	0.4076	0.4076	0.4075	0.4074	0.4073	0.4071	0.4070	0.4068
	Cu	0.3692	0.3685	0.3679	0.3673	0.3666	0.3660	0.3654	0.3647	0.3641	0.3634	0.3628	0.3621	0.3615
5	Au	0.4078	0.4078	0.4076	0.4073	0.4069	0.4065	0.4059	0.4052	0.4044	0.4034	0.4024	0.4012	0.3999
	Cu	0.3686	0.3675	0.3664	0.3655	0.3647	0.3639	0.3633	0.3627	0.3623	0.3619	0.3617	0.3615	0.3615
6	Au	0.4078	0.4078	0.4079	0.4081	0.4083	0.4086	0.4090	0.4094	0.4099	0.4104	0.4111	0.4117	0.4125
	Cu	0.3698	0.3698	0.3696	0.3693	0.3689	0.3684	0.3678	0.3670	0.3662	0.3652	0.3641	0.3628	0.3615
7	Au	0.4078	0.4080	0.4081	0.4082	0.4084	0.4085	0.4085	0.4086	0.4087	0.4087	0.4088	0.4088	0.4088
	Cu	0.3667	0.3663	0.3659	0.3654	0.3650	0.3646	0.3641	0.3637	0.3632	0.3628	0.3624	0.3619	0.3615
8	Au	0.4078	0.4087	0.4095	0.4102	0.4109	0.4114	0.4119	0.4124	0.4127	0.4130	0.4132	0.4133	0.4133
	Cu	0.3555	0.3565	0.3574	0.3581	0.3589	0.3595	0.3600	0.3604	0.3608	0.3611	0.3613	0.3614	0.3615
9	Au	0.4078	0.4082	0.4085	0.4089	0.4091	0.4094	0.4096	0.4098	0.4099	0.4101	0.4101	0.4102	0.4102
	Cu	0.3633	0.3633	0.3632	0.3632	0.3631	0.3630	0.3628	0.3627	0.3625	0.3623	0.3620	0.3618	0.3615

obtained. The electronic structure and properties of Au and Cu characteristic crystals in Au-Cu alloys obtained by OA theory are listed in Tables 4 and 5, in which s_c and s_f refer respectively to covalent electrons and near-free electrons in the s orbit; d_c and d_n , refer covalent electrons and non-bond electrons in the d orbit, respectively; E_c , cohesive energy(kJ/mol); a , lattice constant equal to $(4V)^{1/3}$, nm; B , bulk modulus, $10^{11} N \cdot m^{-2}$; α , linear thermal expansion coefficient at 293 K, 10^{-6} . Some understanding can be obtained from these results.

Electronic structure, cohesive energy, lattice constant and physical properties of each characteristic crystal are correlative. Therefore, the E -function and V -function for a given alloy system must be correlative.

Comparing cohesive energies of Au and Cu characteristic crystals in Tables 4 and 5 with those in Table 2, it can be seen that the correct E -function of Au-Cu alloys is the 5th function in Table 1, namely

$$E = x_{Au} E_{Au}^0 + x_{Cu} E_{Cu}^0 + \frac{(I-1)x_{Au}x_{Cu}^2 + x_{Au}x_{Cu}}{I} (E_I^{Au} - E_{Au}^0) + \frac{(I-1)x_{Au}^2x_{Cu} + x_{Au}x_{Cu}}{I} (E_I^{Cu} - E_{Cu}^0) \quad (2)$$

where $E_{Au}^0 = -368 \text{ kJ} \cdot \text{mol}^{-1}$, $E_{Cu}^0 = -338 \text{ kJ} \cdot \text{mol}^{-1}$, $E_I^{Au} = 392.47 \text{ kJ} \cdot \text{mol}^{-1}$, $E_I^{Cu} = 348.32 \text{ kJ} \cdot \text{mol}^{-1}$, $I = 12$.

Table 4 Electronic structure and properties of Au characteristic crystals in Au-Cu alloys

i	0	1	2	3	4	5	6	7	8	9	10	11	12
d_n	4.650 3	4.647 8	4.640 4	4.627 9	4.610 5	4.587 9	4.560 2	4.527 1	4.488 7	4.444 7	4.394 9	4.339 1	4.277 0
d_c	4.718 8	4.724 1	4.740 0	4.766 4	4.803 4	4.850 9	4.909 0	4.977 7	5.056 9	5.146 5	5.246 5	5.356 9	5.477 3
s_c	0.611 5	0.608 4	0.599	0.583 5	0.561 9	0.534 4	0.501 4	0.463 2	0.420 2	0.372 9	0.322 2	0.268 9	0.2144
s_f	1.019 4	1.019 7	1.020 7	1.022 2	1.024 3	1.026 7	1.029 4	1.032 0	1.034 3	1.035 9	1.036 4	1.035 1	1.0313
a	4.078 1	4.077 5	4.075 9	4.073 2	4.069 5	4.064 6	4.058 7	4.051 7	4.043 5	4.034 2	4.023 8	4.012 2	3.999 5
E_c	367.93	368.1	368.62	369.47	370.66	372.19	374.07	376.28	378.84	381.73	384.97	388.55	392.47
B	1.685 0	1.685 8	1.688 2	1.692 1	1.697 5	1.704 5	1.713 1	1.723 2	1.735 0	1.748 2	1.763 0	1.779 4	1.797 4
α	14.26	14.25	14.23	14.20	14.15	14.10	14.03	13.94	13.85	13.74	13.63	13.50	13.37

Table 5 Electronic structure and properties of Cu characteristic crystals in Au-Cu alloys

i	0	1	2	3	4	5	6	7	8	9	10	11	12
d_n	5.627 5	5.644 0	5.659 8	5.674 7	5.688 5	5.700 9	5.711 5	5.719 9	5.725 3	5.727	5.723 8	5.471 0	4.928 9
d_c	3.753 5	3.757 6	3.769 8	3.790 2	3.818 8	3.855 6	3.900 6	3.954 0	4.015 8	4.086 0	4.164 8	4.379 5	4.748 4
s_c	0.805 2	0.776 4	0.740 5	0.697 7	0.648 4	0.593 0	0.532 1	0.466 2	0.396 3	0.323 6	0.249 5	0.115 0	0
s_f	0.813 8	0.822 0	0.829 9	0.837 4	0.844 3	0.850 5	0.855 8	0.859 9	0.862 7	0.863 5	0.861 9	1.034 4	1.322 7
a	0.368 6	0.367 5	0.366 4	0.365 5	0.364 7	0.363 9	0.363 3	0.362 7	0.362 3	0.361 9	0.361 7	0.361 5	0.361 5
E_c	348.32	346.33	344.52	342.87	341.4	340.11	338.98	338.03	337.25	336.65	336.22	335.96	335.87
B	1.476 8	1.468 3	1.460 7	1.453 7	1.447 4	1.442 0	1.437 2	1.433 2	1.429 9	1.427 3	1.425 5	1.424 4	1.424 0
α	15.83	15.92	16.01	16.08	16.15	16.22	16.27	16.32	16.35	16.38	16.40	16.42	16.42

Comparing lattice constants of Au and Cu characteristic crystals in Tables 4 and 5 with those in Table 3, it can be known that the correct V -function of Au-Cu system is the 5th function in Table 1, namely

$$V = x_{Au} V_{Au}^0 + x_{Cu} V_{Cu}^0 + \frac{(I-1)x_{Au}x_{Cu}^2 + x_{Au}x_{Cu}}{I} (V_I^{Au} - V_{Au}^0) + \frac{(I-1)x_{Au}^2x_{Cu} + x_{Au}x_{Cu}}{I} (V_I^{Cu} - V_{Cu}^0) \quad (3)$$

where $V_{Au}^0 = 0.016\ 955\ 3\ nm^3$, $V_{Cu}^0 = 0.011\ 807\ 1\ nm^3$, $V_I^{Au} = 0.015\ 993\ 6\ nm^3$, $V_I^{Cu} = 0.012\ 517\ 3\ nm^3$, $I = 12$.

As to Au(Cu) characteristic atoms, atomic potential energies rise as the numbers of Cu(Au) atoms in the nearest neighboring shell increase, which are essentially due to the increase of d_c covalent electrons in the Au(Cu) characteristic atoms with increase in the i value. Such changes lead to the tendency to form ordering structure for Au-Cu alloys.

6 ELECTRONIC STRUCTURE AND PROPERTIES OF DISORDERED Au-Cu ALLOYS

According to CC theory, no matter what imaginable variation the atomic arrangement in the lattice occurs, the

relative concentrations of characteristic crystals would change, but their electronic structure and properties of characteristic crystals will not. Therefore, once the electronic structure and properties of characteristic crystals have been determined, no matter what the designed atomic arrangement in the lattice is, the electronic structure and properties of the alloy can be predicted. For disordered alloys, the concentration of characteristic crystals can be calculated by Eqn. (2). Substituting the electronic structure, cohesive energies and atomic volumes of characteristic crystals in Tables 4 and 5 into Eqn. (1), the average electronic structure, cohesive energies and lattice constants of disordered Au-Cu alloys and their components can be obtained.

The common equations for calculating average electronic structure and properties of components Au and Cu are, respectively

$$\left\{ \begin{array}{l} Q_{\text{Au}} = \sum_{i=0}^I C_i x_{\text{Au}}^{(I-i)} x_{\text{Cu}}^i Q_i^{\text{Au}} \\ Q_{\text{Cu}} = \sum_{i=0}^I C_i x_{\text{Au}}^{(I-i)} x_{\text{Cu}}^i Q_i^{\text{Cu}} \end{array} \right. \quad (4)$$

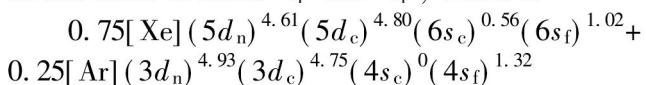
The electronic structure parameters, cohesive energies (kJ/mol) and lattice constants (nm) of disordered Au-Cu alloys and their components are listed in Table 6.

Table 6 Average electronics and properties of disordered Au-Cu alloys and their components

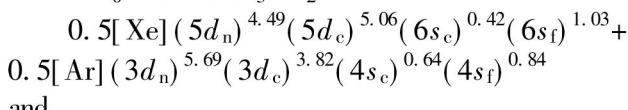
x_{Cu}	0.10	0.25	0.30	0.40	0.50	0.60	0.75	0.80	0.90	
Alloy	d_n	4.744 3	4.885 0	4.932 6	5.029 6	5.129 6	5.231 3	5.359 4	5.376 0	5.298 7
	d_c	4.635 3	4.533 6	4.505 5	4.457 3	4.419 2	4.391 6	4.382 9	4.398 4	4.493 6
	s_c	0.619 9	0.605 2	0.593 0	0.558 0	0.509 2	0.446 8	0.325 4	0.275 1	0.152 8
	s_f	1.000 5	0.976 2	0.969 0	0.955 1	0.942 0	0.930 3	0.932 4	0.950 5	1.054 9
	a	4.038 7	3.977 0	3.955 7	3.911 9	3.866 5	3.819 6	3.746 0	3.720 7	3.668 6
	E_c	366.13	363.16	362.06	359.65	356.91	353.77	348.16	346.02	341.28
Au component	d_n	4.644 0	4.622 3	4.611 7	4.585 4	4.552 2	4.512 0	4.438 2	4.409 9	4.347 4
	d_c	4.732 1	4.778 3	4.800 6	4.855 8	4.924 8	5.007 8	5.158 2	5.215 2	5.339 5
	s_c	0.603 7	0.576 7	0.563 8	0.532 4	0.493 7	0.448 3	0.369 0	0.339 9	0.278 7
	s_f	1.020 2	1.022 8	1.023 9	1.026 5	1.029 3	1.031 9	1.034 7	1.035 0	1.034 4
	a	4.076 7	4.072 0	4.069 7	4.064 1	4.057 0	4.048 5	4.032 9	4.027 0	4.014 0
	E_c	368.36	369.85	370.57	372.35	374.58	377.26	382.12	383.96	387.99
Cu component	d_n	5.646 8	5.673 4	5.681 4	5.695 9	5.707 1	5.710 8	5.666 4	5.617 5	5.404 4
	d_c	3.763 8	3.799 4	3.816 7	3.859 5	3.913 6	3.980 9	4.124 4	4.194 2	4.399 6
	s_c	0.766 0	0.690 5	0.661 1	0.596 5	0.524 7	0.445 9	0.310 9	0.258 9	0.138 8
	s_f	0.823 4	0.836 7	0.840 8	0.848 1	0.854 7	0.862 5	0.898 3	0.929 4	1.057 1
	a	3.696 9	3.692 0	3.689 5	3.683 6	3.676 0	3.667 0	3.650 4	3.644 1	3.630 2
	E_c	346.05	343.07	342.19	340.60	339.24	338.11	336.84	336.54	336.09

7 ELECTRONIC STRUCTURE AND PROPERTIES OF ORDERED Au-Cu ALLOYS AND INTERMETALLIC COMPOUND

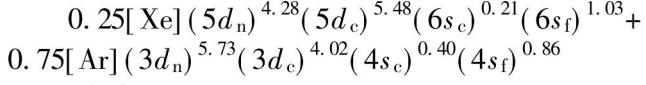
The Au-Cu system is a typical ordering alloy system. According to CC theory, the transformation from a disordered state to ordered state is the degenerative process of characteristic atom. In $\text{Au}_3\text{Cu-Li}_2$, there just exist the characteristic atoms ϕ_4^{Au} and ϕ_4^{Cu} , therefore, the electronic structure of the compound is combined by the characteristic atoms in states ϕ_4^{Au} and ϕ_4^{Cu} , which is



Just the same, the electronic structures of compound AuCu-Li_0 and $\text{Au-Cu}_3\text{-Li}_2$ are



and



respectively.

For the ordered alloys of non-stoichiometry, the concentration of the characteristic atoms can be calculated by

statistics method. As to fcc AB-L1₀ type of ordered alloys, the relationship expressions between the concentrations of the characteristic atoms, compositions of the elements and ordering degrees of alloys are

$$\begin{aligned} x_i^A = & \nu^1 P_A^1 \sum_{j=k}^G C_4^{i-j} (P_B^1)^{i-j} (P_A^1)^{[4-(i-j)]} C_8^j \cdot \\ & (P_B^2)^j (P_A^2)^{[8-j]} + \nu^2 P_A^2 \sum_{j=k}^G C_4^{i-j} \cdot \\ & (P_B^2)^{i-j} (P_A^2)^{[4-(i-j)]} C_8^j (P_B^1)^j (P_A^1)^{[8-j]} \end{aligned} \quad (5)$$

$$\begin{aligned} x_i^B = & \nu^1 P_B^1 \sum_{j=k}^G C_4^{i-j} (P_B^1)^{i-j} (P_A^1)^{[4-(i-j)]} C_8^j \cdot \\ & (P_B^2)^j (P_A^2)^{[8-j]} + \nu^2 P_B^2 \sum_{j=k}^G C_4^{i-j} \cdot \\ & (P_B^2)^{i-j} (P_A^2)^{[4-(i-j)]} C_8^j (P_B^1)^j (P_A^1)^{[8-j]} \end{aligned} \quad (6)$$

where ν^1 , ν^2 represent the site fraction of sublattice I, II, respectively, $\nu^1 = \nu^2 = 1/2$; k and G take their values according to

$$\begin{cases} k = 0, G = i, & \text{if } i \leq 4 \\ k = i - 4, G = i, & \text{if } 4 < i \leq 8 \\ k = i - 4, G = 8, & \text{if } 8 < i \leq 12 \end{cases} \quad (7)$$

P_A^1 , P_B^1 , P_A^2 , P_B^2 are the probabilities of A and B atom occupying the first and second sublattice, which can be calculated by the equations:

$$\begin{cases} P_A^1 = x_A + (1 - \nu^1) s \\ P_B^2 = x_A - \nu^1 s \\ P_B^1 = 1 - [x_A + (1 - \nu^1) s] \\ P_A^2 = 1 - [x_A - \nu^1 s] \end{cases} \quad (8)$$

where s is the ordering degree, which is defined as

$$s = \frac{P_A^1 - x_A}{1 - \nu^1} \quad (9)$$

The maximum ordering degree s_{\max} is determined from composition x_A of alloys.

For the stoichiometric alloy, due to, $P_A^1 = 1$, $s_{\max} = 1$.

For the alloys with $x_A < \nu^1$ due to $P_A^2 = 0$, $s_{\max} = x_A / \nu^1$.

For the alloys with $x_A > \nu^1$, due to $P_A^1 = 0$, $s_{\max} = (1 - x_A) / (1 - \nu^1)$.

As to fcc A₃B-L1₂ and AB₃-L1₂ type of ordered alloys, there are also equations to calculate the concentrations of characteristic crystals^[12].

The average electronic structure and properties of ordered alloys in such types as Au₃Cu-L1₂, AuCu-L1₀ and AuCu₃-L1₂ with maximum ordering degree as a function of composition are respectively listed in Tables 7, 8 and 9.

Fig. 1 illustrates the varying tendencies of

Table 7 Average electronic structure and properties of ordered Au₃Cu alloys and their components

x_{Cu}	0.10	0.25	0.30	0.40	0.50	0.60	0.75	0.80	0.90	
Alloy	d_n	4.864 7	4.825 4	4.913 3	5.013 2	5.117 1	5.223 4	5.358 6	5.376 9	5.300 2
	d_c	4.540 9	4.568 9	4.512 8	4.464 0	4.424 7	4.395 3	4.383 2	4.398 0	4.492 8
	s_c	0.622 7	0.624 9	0.608 5	0.569 7	0.517 4	0.452 2	0.327 9	0.276 9	0.153 4
	s_f	0.971 7	0.980 8	0.965 4	0.953 1	0.940 8	0.929 1	0.930 3	0.948 3	1.053 5
	a	3.976 7	3.997 7	3.955 5	3.911 8	3.866 5	3.819 6	3.746 0	3.720 7	3.668 6
	E_c	365.07	365.40	363.80	361.03	357.93	354.47	348.46	346.22	341.33
Au component	d_n	4.643 0	4.610 5	4.597 8	4.568 5	4.533 9	4.494 0	4.423 6	4.397 2	4.340 1
	d_c	4.734 3	4.803 4	4.830 1	4.891 3	4.963 0	5.045 2	5.187 9	5.240 7	5.353 9
	s_c	0.602 4	0.561 9	0.546 6	0.511 9	0.472 2	0.427 8	0.353 5	0.327 0	0.271 8
	s_f	1.020 3	1.024 3	1.025 6	1.028 3	1.030 9	1.033 1	1.035 1	1.035 2	1.034 2
	a	4.076 5	4.069 5	4.066 8	4.060 5	4.053 1	4.044 7	4.029 9	4.024 3	4.012 5
	E_c	368.43	370.66	371.52	373.49	375.81	378.46	383.08	384.79	388.46
Cu component	d_n	5.627 5	5.627 5	5.649 5	5.680 3	5.700 3	5.709 7	5.670 3	5.621 8	5.406 9
	d_c	3.753 5	3.753 5	3.772 5	3.823 1	3.886 4	3.962 0	4.115 0	4.187 3	4.397 2
	s_c	0.805 2	0.805 2	0.753 1	0.656 3	0.562 6	0.468 5	0.319 4	0.264 4	0.140 3
	s_f	0.813 8	0.813 8	0.824 8	0.840 2	0.850 6	0.859 8	0.895 4	0.926 6	1.055 6
	a	3.698 4	3.698 4	3.695 7	3.688 6	3.679 8	3.669 5	3.651 4	3.644 7	3.630 4
	E_c	348.32	348.32	345.77	342.32	340.06	338.47	336.92	336.58	336.10

Table 8 Average electronic structure and properties of ordered AuCu alloys and their components

x_{Cu}	0.10	0.25	0.30	0.40	0.50	0.60	0.75	0.80	0.90	
Alloy	d_n	4.743 3	4.877 0	4.920 3	5.005 3	5.088 6	5.205 7	5.356 4	5.378 2	5.303 5
	d_c	4.635 7	4.536 8	4.510 5	4.467 8	4.437 9	4.403 7	4.384 3	4.397 3	4.491 2
	s_c	0.620 8	0.611 3	0.601 9	0.574 0	0.534 3	0.463 2	0.332 9	0.280 5	0.154 7
	s_f	1.000 2	0.975 0	0.967 3	0.952 9	0.939 3	0.927 5	0.926 5	0.944 0	1.050 6
	a	4.038 7	3.976 9	3.955 6	3.911 7	3.866 4	3.819 5	3.746 0	3.720 7	3.668 6
	E_c	366.23	363.85	363.09	361.59	360.12	355.94	349.07	346.62	341.44
Au component	d_n	4.643 6	4.616 2	4.600 9	4.557 4	4.488 7	4.452 1	4.391 9	4.370 4	4.325 2
	d_c	4.733 1	4.791 0	4.823 2	4.914 4	5.056 9	5.131 1	5.251 7	5.294 4	5.383 4
	s_c	0.603 1	0.569 4	0.550 9	0.499 2	0.420 2	0.381 6	0.320 9	0.300 1	0.257 7
	s_f	1.020 2	1.023 4	1.025 0	1.029 1	1.034 3	1.035 3	1.035 5	1.035 2	1.033 8
	a	4.076 6	4.070 7	4.067 4	4.058 1	4.043 5	4.035 8	4.023 2	4.018 7	4.009 4
	E_c	368.39	370.26	371.3	374.24	378.84	381.24	385.14	386.52	389.42
Cu component	d_n	5.640 5	5.659 3	5.665 4	5.677 2	5.688 5	5.708 1	5.677 8	5.630 1	5.412 2
	d_c	3.758 7	3.773 9	3.780 9	3.797 9	3.818 8	3.918 8	4.095 2	4.173 0	4.392 1
	s_c	0.780 5	0.737 1	0.720 9	0.686 3	0.648 4	0.517 6	0.336 9	0.275 6	0.143 3
	s_f	0.820 3	0.829 7	0.832 7	0.838 6	0.844 3	0.855 6	0.890 2	0.921 3	1.052 4
	a	3.697 7	3.695 5	3.694 5	3.692 2	3.689 3	3.675 3	3.653 6	3.646 2	3.630 7
	E_c	346.77	344.60	343.92	342.62	341.40	339.07	337.05	336.64	336.11

Table 9 Average electronic structure and properties of ordered AuCu₃ alloys and their components

x_{Cu}	0.10	0.25	0.30	0.40	0.50	0.60	0.75	0.80	0.90	
Alloy	d_n	4.744 0	4.882 2	4.928 3	5.021 0	5.115 4	5.212 3	5.363 2	5.390 3	5.317 5
	d_c	4.635 4	4.534 7	4.507 3	4.461 1	4.425 8	4.400 9	4.381 2	4.391 3	4.484 0
	s_c	0.620 2	0.607 2	0.596 0	0.563 4	0.517 7	0.459 5	0.350 8	0.295 0	0.160 8
	s_f	1.000 4	0.975 9	0.968 5	0.954 5	0.941 0	0.927 4	0.904 9	0.923 4	1.037 7
	a	4.038 7	3.977 0	3.955 6	3.911 8	3.866 5	3.819 6	3.746 1	3.720 7	3.668 6
	E_c	366.16	363.39	362.41	360.32	358.03	355.47	351.06	347.90	341.76
Au component	d_n	4.643 9	4.620 0	4.607 6	4.574 8	4.527 9	4.460 2	4.277 0	4.277 0	4.277 0
	d_c	4.732 5	4.783 0	4.809 0	4.877 8	4.974 7	5.112 7	5.477 3	5.477 3	5.477 3
	s_c	0.603 5	0.574 0	0.559 1	0.520 2	0.466 9	0.393 7	0.214 4	0.214 4	0.214 4
	s_f	1.020 2	1.023 0	1.024 3	1.027 3	1.030 6	1.033 3	1.031 3	1.031 3	1.031 3
	a	4.076 7	4.071 5	4.068 9	4.061 9	4.051 9	4.037 6	3.999 5	3.999 5	3.999 5
	E_c	368.37	370.00	370.84	373.06	376.19	380.65	392.47	392.47	392.47
Cu component	d_n	5.644 7	5.668 9	5.676 4	5.690 4	5.703 0	5.713 6	5.725 3	5.668 7	5.433 1
	d_c	3.761 9	3.789 8	3.803 1	3.836 0	3.877 0	3.926 3	4.015 8	4.119 8	4.373 7
	s_c	0.771 0	0.706 9	0.682 2	0.628 3	0.568 5	0.503 3	0.396 3	0.315 1	0.154 9
	s_f	0.822 4	0.834 5	0.838 2	0.845 3	0.851 5	0.856 8	0.862 7	0.896 5	1.038 4
	a	3.697 2	3.693 3	3.691 4	3.686 8	3.681 1	3.674 2	3.661 6	3.651 0	3.631 9
	E_c	346.29	343.55	342.73	341.21	339.87	338.69	337.25	336.75	336.13

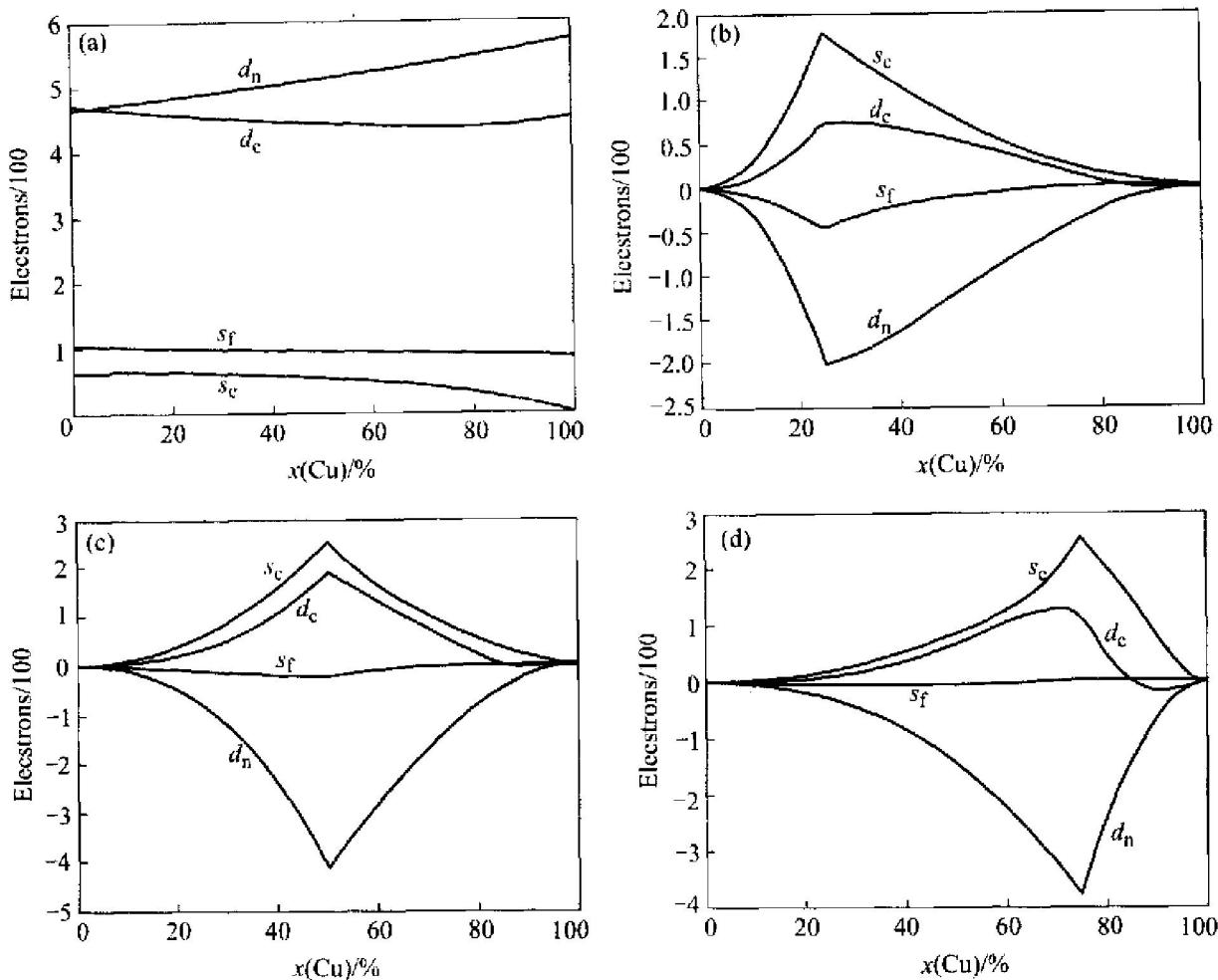


Fig. 1 Electronic structure of Au-Cu alloys

(a) —Disorder alloy; (b) — $\text{Au}_3\text{Cu}\text{-L}1_2$ style alloy relative to disorder structure;
 (c) — $\text{AuCu}\text{-L}1_0$ style alloy relative to disorder structure; (d) — $\text{AuCu}_3\text{-L}1_2$ style alloy relative to disorder structure

electronic structure parameters of $\text{Au}_3\text{Cu}\text{-L}1_2$, $\text{AuCu}\text{-L}1_0$, $\text{AuCu}_3\text{-L}1_2$ type ordered alloys relative to disorder alloy.

8 ANALYZING OF ELECTRONIC STRUCTURE AND ENERGIES DURING TRANSFORMATION BETWEEN ORDERED-DISORDERED ALLOYS

From Fig. 1, it can be found that the electronic structure of alloys varies with the transformation of disorder-order transition. Some near-bond electrons and near-free electrons become covalent electrons when the disorder alloys transform to order alloys. At the composition of stoichiometry, there are most electrons to turn to covalent electrons from near-bond and near-free electrons. It's known that the contributions to cohesive energy are different for covalent, near-bond and near-free electron. It can be predicted that there is difference in the cohesive energies of alloys with order and disorder structure. From Tables 7, 8 and 9, it can be seen that, for the alloy of 25% Cu(mole fraction), the cohesive energy of disorder alloy is 363.16 kJ/mol, but the cohesive energy of alloy with the structure of $\text{AuCu}\text{-L}1_0$ is 360.12 kJ/mol; for the alloy of 75% Cu(mole fraction), the cohesive energy of disorder alloy is 348.16 kJ/mol, but the cohesive energy of alloy with the structure of $\text{AuCu}_3\text{-L}1_2$ is 351.06 kJ/mol. The differences between disorder and order alloy are -2.24, -3.21, and -2.9 kJ/mol respectively. This indicates that the order alloys are in lower energy states than disorder alloy, i.e. there exists ordering trend in Au-Cu system.

the structure of $\text{Au}_3\text{Cu}\text{-L}1_2$ is 365.40 kJ/mol; for the alloy of 50% Cu(mole fraction), the cohesive energy of disorder alloy is 356.91 kJ/mol, but the cohesive energy of alloy with the structure of $\text{AuCu}\text{-L}1_0$ is 360.12 kJ/mol; for the alloy of 75% Cu(mole fraction), the cohesive energy of disorder alloy is 348.16 kJ/mol, but the cohesive energy of alloy with the structure of $\text{AuCu}_3\text{-L}1_2$ is 351.06 kJ/mol. The differences between disorder and order alloy are -2.24, -3.21, and -2.9 kJ/mol respectively. This indicates that the order alloys are in lower energy states than disorder alloy, i.e. there exists ordering trend in Au-Cu system.

9 CONCLUSIONS

- 1) The energy function and volume function of Au-Cu system have been chosen by studying the atomic states, energies and volumes correlatively.
- 2) The electronic structure, cohesive energies, lattice constants, bulk modulus, and thermal expansion coefficients of characteristic crystals in the Au-Cu system

have been determined.

3) The variations of electronic structure, cohesive energies and lattice constants of disordered Au-Cu alloys and three ordering alloys with the maximum ordering degree as a function of composition have been calculated.

4) The transformation of order-disorder transition in the Au-Cu system has been studied based on the analysis of electronic structure and cohesive energies of the order and disorder structures in the system.

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